

Edgar Filing: NEUBERGER BERMAN INC - Form 8-K

NEUBERGER BERMAN INC
Form 8-K
July 27, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15 (d) of the
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): July 24, 2001

NEUBERGER BERMAN INC.
(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 001-15361

DELAWARE
(State or other jurisdiction of
incorporation or organization)

06-1523639
(I.R.S. Employer
Identification No.)

605 Third Avenue
New York, NY 10158
(Address of principal executive offices)

(212) 476-9000
(Registrant's telephone number, including area code)

ITEM 5. OTHER EVENTS

On July 24, 2001, Neuberger Berman Inc. (the "Corporation"), announced that its Board of Directors had declared a 3-for-2 stock split to be effected as a 50% stock dividend payable on August 16, 2001, to stockholders of record on August 1, 2001.

In addition, the Corporation announced that its Board of Directors had increased the cash dividend payable on the Corporation's common stock to \$0.075 per share on a post-split basis, payable on August 16, 2001, to stockholders of record on August 1, 2001.

A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

Exhibits

| Exhibit No. | Document |
|-------------|---------------------|
| (99) | Additional Exhibits |

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- 99.1 Press release issued by the Corporation on July 24, 2001, reporting that its Board of Directors had declared a 3-for-2 stock split of the Corporation's common stock to be effected as a 50% stock dividend, increased the Corporation's cash dividend to \$0.075 on a post-split basis, and had declared such dividend payable on August 16, 2001 to stockholders of record on August 1, 2001.
- 99.2 Press release issued by the Corporation on July 24, 2001, with respect to results of operations for the six months and quarter ended June 30, 2001.

ITEM 9. REGULATION FD DISCLOSURE

The Corporation is furnishing under Item 9 of this Current Report on Form 8-K the information included as Exhibit 99.2 to this Report. Exhibit 99.2 is the Corporation's press release, dated July 24, 2001, reporting the Corporation's results of operations for the six months and quarter ended June 30, 2001.

The information in this Item 9 and the related Exhibit 99.2 are furnished pursuant to Regulation FD, and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.
(Registrant)

Date: July 27, 2001

By: /s/ Matthew S. Stadler

Matthew S. Stadler
Chief Financial Officer

EXHIBIT INDEX

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- 99.2 Press release issued by Neuberger Berman Inc. on July 24, 2001, reporting the Corporation's results of operations for the six months and quarter ended June 30, 2001.