Edgar Filing: KONA GRILL INC - Form 8-K

KONA GRILL INC Form 8-K January 11, 2010

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 11, 2010

KONA GRILL, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-34082 20-0216690

(State or other Jurisdiction of

(Commission File Number)

(IRS Employer Identification No.)

Incorporation)

7150 E. Camelback Road, Suite 220

Scottsdale, Arizona (Address of Principal Executive Offices)

(Zip Code)

85251

Registrant s telephone number, including area code: (480) 922-8100

(Former name or former address if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02 Results of Operations and Financial Condition.

On January 11, 2010, Kona Grill, Inc. (the Registrant) issued a press release announcing its preliminary fourth quarter 2009 results.

The press release issued by the Registrant in connection with the announcement is attached to this report as Exhibit 99.1. The information in this Form 8-K and Exhibit attached hereto are being furnished and shall not be deemed filed for purposes of Section 18 of the Securities and Exchange Act of 1934, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, except as shall be expressly set forth by specific reference in such filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

99.1 Press Release dated January 11, 2010 titled Kona Grill Releases Preliminary Fourth Quarter 2009 Results **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 11, 2010

KONA GRILL, INC.

By: /s/ Mark S. Robinow

Mark S. Robinow

Executive Vice President, Chief Financial Officer, and Secretary

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EXHIBIT INDEX

Exhibit		
Number		Description
99.1	Press Release dated January 11, 2010 titled	Kona Grill Releases Preliminary Fourth Quarter 2009
	Results	