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NEUBERGER BERMAN INC  
Form 8-K  
May 02, 2001

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15 (d) of the  
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): May 1, 2001

NEUBERGER BERMAN INC.  
(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 001-15361

DELAWARE  
(State or other jurisdiction of  
incorporation or organization)

06-1523639  
(I.R.S. Employer  
Identification No.)

605 Third Avenue  
New York, NY 10158  
(Address of principal executive offices)

(212) 476-9000  
(Registrant's telephone number, including area code)

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

Exhibits

| Exhibit No. | Document  |
|-------------|---|
| (99)        | Additional Exhibits   |
| 99.1        | Press release issued by Neuberger Berman Inc. on May 1, 2001, reporting that it had entered into a purchase agreement for the sale of \$151 million principal amount at maturity of zero coupon convertible senior notes. |

ITEM 9. REGULATION FD DISCLOSURE

Neuberger Berman Inc. (the "Corporation") is furnishing under Item 9 of this Current Report on Form 8-K the information included as Exhibit 99.1 to this Report. Exhibit 99.1 is the Corporation's press release, dated May 1, 2001, reporting that the Corporation has entered into a purchase agreement for the sale of \$151 million principal amount at maturity of zero coupon convertible senior notes

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due 2021.

The information in this Current Report on Form 8-K, including the exhibits, is furnished pursuant to Item 9 and shall not be deemed to be "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.  
(Registrant)

Date: May 2, 2001

By: /s/ Jeffrey B. Lane

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Jeffrey B. Lane  
President and Chief Executive Officer

### EXHIBIT INDEX

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