HNI CORP Form 5 February 13, 2017

FORM 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

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January 31,

**OMB** 

Number:

Expires:

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
Reported
Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Ad Bradford Ste	*	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	HNI CORP [HNI]  3. Statement for Issuer's Fiscal Year Ended	(Check all applicable)			
			(Month/Day/Year)	Director 10% Owner			
600 EAST SECOND STREET			12/31/2016	X Officer (give title Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Reporting			
			Filed(Month/Day/Year)	(check applicable line)			
				(check applicable line)			

### MUSCATINE, IAÂ 52761

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

(City)	(State)	Ta	ble I - Non-De	erivative Secu	ırities	Acquired	l, Disposed of,	or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or Disposed (Instr. 3, 4 and	of (D)		5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/29/2016	Â	A	1,272	A	\$ 0 (1)	23,841.77 (2)	D	Â
Common Stock	04/01/2016	Â	A	193.3517	A	\$ 39.55 (3)	24,035.12	D	Â
Common Stock	07/01/2016	Â	A	163.8187	A	\$ 46.68 (3)	24,198.94	D	Â

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	Common Stock	12/30/2016	Â	A	184.6304	A	\$ 0 (4)	1,146.18	I	Profit-Sharing Retirement
	Common Stock	12/30/2016	Â	A	36.8173	A	\$ 55.92 (3)	24,427.9	D	Â
	Common Stock	09/30/2016	Â	A	192.1372	A	\$ 39.8 (3)	24,391.08	D	Â

securities beneficially owned directly or indirectly.

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(9-02)

of

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Bradford Steven M 600 EAST SECOND STREET MUSCATINE, IA 52761	Â	Â	Sr. VP, Gen. Counsel & Sec.	Â				
Signatures								

/s/Julie Abramowski, By Power of 02/13/2017 Attorney

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- An interim grant of 1,272 shares was made to the reporting person on February 29, 2016, under the HNI Corporation Supplemental Income Plan for which no Form 4 is required.

Reporting Owners 2

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- (2) The total reported in Column 5 has been adjusted to correct an overstatement of direct holdings by 175.19 shares first reflected in a Form 5 filed on February 9, 2015.
- (3) These shares were acquired during the fiscal year under the HNI Corporation 2002 Members' Stock Purchase Plan. This information is as of December 30, 2016.
- (4) These shares were acquired under the HNI Corporation Profit-Sharing Retirement Plan. The information is as of December 30, 2016. Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.