

Kessler Brian J  
Form 3  
January 24, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Kessler Brian J

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE

(Street)

MILWAUKEE,Â WIÂ 53209

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/23/2013

3. Issuer Name **and** Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
VP & Pres., Power Solutions

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

32,334.068

D

Â

Common Stock

10,681.797 <sup>(1)</sup>

I

By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative  
Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of  
Derivative

5. Ownership  
Form of  
Derivative  
Security:

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock Units - Restricted Stock Grants	Â (2)	Â (2)	Common Stock	486.57	\$ (3)	D	Â
Employee Stock Option (Right to Buy)	11/16/2007	11/16/2015	Common Stock	150,000	\$ 22.5617	D	Â
Employee Stock Option (Right to Buy)	10/02/2008	10/02/2016	Common Stock	60,000	\$ 23.965	D	Â
Employee Stock Option (Right to Buy)	10/01/2009	10/01/2017	Common Stock	30,000	\$ 40.21	D	Â
Employee Stock Option (Right to Buy)	10/01/2010	10/01/2018	Common Stock	40,000	\$ 28.79	D	Â
Employee Stock Option (Right to Buy)	10/01/2011	10/01/2019	Common Stock	40,000	\$ 24.87	D	Â
Employee Stock Option (Right to Buy)	10/01/2012(4)	10/01/2020	Common Stock	35,000	\$ 30.54	D	Â
Employee Stock Option (Right to Buy)	10/07/2013(4)	10/07/2021	Common Stock	28,500	\$ 28.54	D	Â
Employee Stock Option (Right to Buy)	10/05/2014(4)	10/05/2022	Common Stock	36,700	\$ 27.85	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kessler Brian J 5757 N. GREEN BAY AVENUE MILWAUKEE, WI 53209	Â	Â	Â VP & Pres., Power Solutions	Â

## Signatures

Angela M. Blair, Attorney-in-fact for Brian J. Kessler

01/24/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of underlying securities is based on the stock fund balance on January 23, 2013. The actual number of shares issuable upon

(1) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 23, 2013 stock fund price of \$30.73 per share.

(2) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock and dividend equivalent units that settle 100% in cash and relate to restricted stock awards.

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- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (4) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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