AGNC Investment Corp. Form 4 March 03, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** OMB 3235-0287

Check this box if no longer

Washington, D.C. 20549

Number: January 31, Expires: 2005

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

| 1. Name and Address of Reporting Person |
|---|
| Kuehl Christopher                       |
|   |

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

AGNC Investment Corp. [AGNC]

(Check all applicable)

AGNC INVESTMENT CORP., 2

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ 10% Owner Director X\_ Officer (give title \_\_ Other (specify below) below)

BETHESDA METRO CENTER, 12TH FLOOR

(Street)

4. If Amendment, Date Original

02/22/2016

6. Individual or Joint/Group Filing(Check

**EVP** 

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BETHESDA, MD 20814

| (City)                               | (State)                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |                  |              |  |   |   |  |
|--------------------------------------|---|--|---|---|------------------|--------------|--|---|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) oper Disposed of (D) (Instr. 3, 4 and 5) |                  |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |  | Code V                                  | Amount  | (A)<br>or<br>(D) | Price        | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (I)<br>(Instr. 4)                         |   |  |
| Common<br>Stock                      | 02/22/2016                              |  | S                                       | 3,750   | D                | \$<br>18.046 | 431,063.901  | D   |   |  |
| Common<br>Stock                      | 03/07/2016                              |  | S                                       | 4,000   | D                | \$<br>18.369 | 420,013.901  | D   |   |  |
| Common<br>Stock                      | 03/01/2017                              |  | A                                       | 18,050  | A                | <u>(1)</u>   | 332,288.214<br>(2)   | D   |   |  |
| Common<br>Stock                      |   |  |   |   |                  |              | 2,000  | I   | IRA   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: AGNC Investment Corp. - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivativ<br>Securities<br>Acquired |                     | ate                | Amou<br>Under<br>Secur | le and<br>ant of<br>rlying<br>rities<br>. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|------------------------|--|--|---|
|   | ·   |   |   |                                      | (A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5)     |                     |                    |                        |  |  | Repo<br>Trans<br>(Instr                         |
|   |   |   |   | Code V                               | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |  |   |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kuehl Christopher AGNC INVESTMENT CORP. 2 BETHESDA METRO CENTER, 12TH FLOOR BETHESDA, MD 20814

X EVP

#### **Signatures**

Christopher

Kuehl 03/03/2017

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units ("RSUs") granted under the AGNC Investment Corp. 2016 Equity and Incentive Compensation
  (1) Plan. The awards were received as a grant for no consideration. The common stock underlying the RSUs will vest, subject to certain limitations, in equal installments, on each of March 15, 2018, March 15, 2019 and March 15, 2020.
- Includes 572.528 and 569.241 shares of stock received pursuant to the reinvestment of cash dividends declared by the Company to holders of record of common stock as of December 30, 2016 and January 31, 2017, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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