McCollum Daniel H Form 4 March 20, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading McCollum Daniel H Issuer Symbol LRAD Corp [LRAD] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 16262 WEST BERNARDO ROAD 03/20/2019 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN DIEGO, CA 92127 Person

(State)

(Zip)

(City)

()	Table	e I - Non-D	erivative Secur	ities Acc	quirea, Disposea (	of, or Beneficial	lly Owned
2. Transaction Date	2A. Deemed	3.	4. Securities A	cquired	5. Amount of	6. Ownership	7. Nature of
(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect
	any	Code	(D)		Beneficially	(D) or	Beneficial
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and	5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
			(A)		Reported		
				)	Transaction(s)		
		Code V		Drigo	(Instr. 3 and 4)		
		Code v		riice			
03/20/2019		M	$\frac{25,000}{(1)}$ A	<u>(2)</u>	85,000	D	
	(Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)	2. Transaction Date 2A. Deemed 3.  (Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8)  Code V	2. Transaction Date (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (A) or Code V Amount (D)    (D3/20/2019    (D3/20/2019    (D3/20/2019    (Month/Day/Year)    (A) Or Code V Amount (D)    (D3/20/2019    (D3	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Code (D) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price (D3/20/2019)  M 25,000 A (2)	2. Transaction Date (Month/Day/Year)    2. Transaction Date (Month/Day/Year)    (Instr. 8)    (Instr. 3, 4 and 5)    (A)     (B)     (A)     (B)     (Code V Amount (D) Price    (Instr. 3 and 4)    (D) Price    (D)    (D) Price    (D)    (D) Price    (D)    (D) Price    (D)    (D) Price    (D	(Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) (Instr. 4)  Code V Amount (D) Price  Execution Date, if any (Code (D) (D) Beneficially (D) or (D)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: McCollum Daniel H - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	TransactionDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	/ (A	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	03/20/2019		M		25,000	<u>(1)</u>	<u>(1)</u>	Common Stock	25,000

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## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

McCollum Daniel H 16262 WEST BERNARDO ROAD X SAN DIEGO, CA 92127

# **Signatures**

/s/ Dennis D. Klahn, Attorney in fact 03/20/2019

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received a grant of 25,000 Restricted Stock Units (RSUs) on March 20, 2018. These vested on March 20, 2019 and the reporting person received one share of LRAD Corporation common stock for each RSU.
- (2) No purchase price, conversion price or exercise price was paid because the restricted stock units converted into shares of common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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