Ocean Power Technologies, Inc.

Form 3

May 05, 2014

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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January 31, 2005

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Competti Eileen M

(Last)

(First)

(Middle)

(Zip)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

04/24/2014

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O OCEAN POWER

TECHNOLOGIES, INC., 1590 REED ROAD

KEED KOAD

(Street)

\_X\_ Director \_\_\_\_\_ 10% Owner Officer Other (give title below) (specify below)

(Check all applicable)

Ocean Power Technologies, Inc. [OPTT]

6. Individual or Joint/Group
Filing(Check Applicable Line)
\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PENNINGTON, NJÂ 08534

(City) (State)

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

**Table I - Non-Derivative Securities Beneficially Owned** 

4. Nature of Indirect Beneficial

ership Ownership : (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date Expiration Title Exercisable Date

Amount or Number of Price of Derivative
Derivative Security:
Security Direct (D)

1

Shares

or Indirect
(I)
(Instr. 5)

## **Reporting Owners**

PENNINGTON, ÂNJÂ 08534

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Competti Eileen M

C/O OCEAN POWER TECHNOLOGIES, INC. 1590 REED ROAD

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**Signatures** 

/s/ Eileen M. Competti by Mark A. Featherstone as attorney-in-fact

05/05/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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