#### RURBAN FINANCIAL CORP

Form 4 April 24, 2007

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

1.Title of

Security

(Instr. 3)

**COMMON** 

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KLEIN MARK A

(First) (Middle)

225 KETTENRING DRIVE

(Street)

DEFIANCE, OH 43512

2. Issuer Name and Ticker or Trading

Symbol

RURBAN FINANCIAL CORP [RBNF]

3. Date of Earliest Transaction

(Month/Day/Year) 02/14/2007

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

PRESIDENT & CEO OF SUBSIDIARY

Person

Issuer

below)

(City) (State) (Zip)

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Month/Day/Year)

2. Transaction Date 2A. Deemed 3. 4. Securities Execution Date, if TransactionAcquired (A) or

Code Disposed of (D) (Instr. 8)

Code V Amount

(Instr. 3, 4 and 5)

(A)

(D)

Beneficially Owned Following Reported

Securities

5. Amount of

(D) or Indirect (I) (Instr. 4)

D

Form: Direct Indirect Beneficial Ownership (Instr. 4)

(9-02)

6. Ownership 7. Nature of

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4)

Price 3,954

**STOCK** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: RURBAN FINANCIAL CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

**OPTION** 

FOR \$ 11.5 **COMMON** 

**STOCK** 

COMMON 02/14/2012 02/14/2017 STOCK

5,000

## **Reporting Owners**

Reporting Owner Name / Address				
	D' .	1007 0	O.CC.	0.1

Director 10% Owner Officer Other

Relationships

PRESIDENT &

**SUBSIDIARY** 

CEO OF

KLEIN MARK A 225 KETTENRING DRIVE DEFIANCE, OH 43512

### **Signatures**

MARK A. 04/24/2007 **KLEIN** 

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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