## Edgar Filing: NanoString Technologies Inc - Form 4

NanoString Technologies Inc Form 4 September 06, 2016

September 06,	, 2016										
<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
					NGE C	COMMISSION	OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. SECURITIES							Expires: Estimated a burden hour response	January 31, 2005 average urs per			
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> JOHNSON JAMES A			2. Issuer Name <b>and</b> Ticker or Trading Symbol NanoString Technologies Inc [NSTG]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(			3. Date of Earliest Transaction (Month/Day/Year) 09/01/2016					Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
Fil				ndment, Da th/Day/Year)	-	1		<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
SEATTLE, W	VA 98109							Person		0	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock (1)	09/01/2016 <u>(2)</u>			A	620	A	12.16 (3)	5,089	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
JOHNSON JAMES A 530 FAIRVIEW AVENUE N. SEATTLE, WA 98109			Chief Financial Officer					
Signatures								
/s/ Shannon Atchison, Attorney-in-fact								
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is voluntarily reporting the acquisition of shares of the issuer's common stock pursuant to the NanoString(1) Technologies, Inc. Employee Stock Purchase Plan ("ESPP"), for the ESPP Purchase Period (as defined in the ESPP) of March 1, 2016

- through September 1, 2016. This transaction is also exempt under rule 16b-3(c).
- (2) The Purchase Period ended on September 1, 2016 and is the first of two Purchase Periods comprising the Offering Period (as defined in the ESPP) that began on March 1, 2016 (the "Enrollment Date").
- (3) In accordance with the ESPP, these shares were purchased based on 85% of the closing price of the issuer's common stock on the Enrollment Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.