Steris plc Form 4 June 02, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287 January 31,

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2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person
Bardwell Kathleen

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

Steris plc [STE]

(Check all applicable)

C/O CHANCERY HOUSE, 190 WATERSIDE RD., HAMILTON **INDUSTRIAL PARK** 

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title

10% Owner \_ Other (specify

05/31/2016

below)

Sr.V. P. & C.C.O.

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LEISCESTER, X0 LE5 1QZ

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Ordinary Shares, 0.10 Nominal Value	05/31/2016		F	380 (1)	` ′		12,855	D	
Ordinary Shares, 0.10 Nominal Value	05/31/2016		F	148 (2)	D	\$ 69.43	12,707	D	
	05/31/2016		F	247 (3)	D		12,460	D	

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Ordinary Shares, 0.10 Nominal Value					\$ 69.43		
Ordinary Shares, 0.10 Nominal Value	05/31/2016	F	206 (4)	D	\$ 69.43	12,254	D
Ordinary Shares, 0.10 Nominal Value	06/01/2016	A	1,500 (5)	A	\$ 0	13,754	D
Ordinary Shares, 0.10 Nominal Value	06/01/2016	A	2,000 (6)	A	\$ 0	15,754	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivat Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4) and 5)		6. Date Exer Expiration D (Month/Day)	ate	7. Title and of Underlyi Securities (Instr. 3 and	ng
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 69.72	06/01/2016		D	7,000	<u>(7)</u>	06/01/2026	Ordinary Shares, 0.10	7,000

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bardwell Kathleen C/O CHANCERY HOUSE, 190 WATERSIDE RD. HAMILTON INDUSTRIAL PARK LEISCESTER, X0 LE5 1QZ

Sr.V. P. & C.C.O.

### **Signatures**

/s/ J. Adam Zangerle, Authorized Representative under Power of Attorney

06/02/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 380 shares were withheld from the 1,155 restricted shares that vested on May 31, 2016. These 380 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 1,155 ordinary shares were awarded to Ms. Bardwell on May 30, 2012 as part of an award of 4,620 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016.
- 148 shares were withheld from the 450 restricted shares that vested on May 31, 2016. These 148 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 450 ordinary shares were awarded to Ms. Bardwell on May 31, 2013 as part of an award of 1,800 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 450 on May 31, 2017.
- 247 shares were withheld from the 750 restricted shares that vested on May 31, 2016. These 247 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 750 ordinary shares were awarded to Ms. Bardwell on May 30, 2014 as part of an award of 3,000 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 750 on May 30, 2017 and 750 on May 30, 2018.
  - 206 shares were withheld from the 625 restricted shares that vested on May 31, 2016. These 206 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 625 ordinary shares were
- (4) awarded to Ms. Bardwell on August 10, 2015 as part of an award of 2,500 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 625 on May 30, 2017; 625 on May 28, 2018; and 625 on May 28, 2019.
- (5) All 1,500 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 375 on October 3, 2016; 375 on October 2, 2017; 375 on October 1, 2018 and 375 on October 1, 2019.
- (6) All 2,000 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 500 on June 1, 2017; 500 on June 1, 2018; 500 on June 3, 2019 and 500 on June 1, 2020.
- (7) This option becomes exercisable as follows: 1,750 on June 1, 2017; 1,750 on June 1, 2018; 1,750 on June 3, 2019 and 1,750 on June 1, 2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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