MITEK SYSTEMS INC

Form 4 July 23, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> DEBELLO JAMES B

(First)

2. Issuer Name **and** Ticker or Trading

Symbol

MITEK SYSTEMS INC [MITK]

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

8911 BALBOA AVENUE, SUITE B 07/21/2015

X Director _____ 10% Owner _X_ Officer (give title _____ Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

__X__ Officer (give title _____ Other below) below) President & CEO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

Issuer

SAN DIEGO, CA 92123

(City)	(State) (Zip) Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5)			5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/21/2015		M	13,397	A		536,989	D	
Common Stock	07/21/2015		M	10,251	A	\$ 0.82	547,240	D	
Common Stock	07/21/2015		S	23,648 (1)	D	\$ 4	523,592	D	
Common Stock	07/22/2015		M	15,921	A	\$ 0.82	539,513	D	
Common Stock	07/22/2015		S	15,921 (2)	D	\$ 4	523,592 (3)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.8	07/21/2015		M	13,397	10/19/2005	10/19/2015	Common Stock	13,397
Stock Option (Right to Buy)	\$ 0.82	07/21/2015		M	10,251	11/18/2005	11/18/2015	Common Stock	10,251
Stock Option (Right to Buy)	\$ 0.82	07/21/2015		M	15,921	11/18/2005	11/18/2015	Common Stock	15,921

Reporting Owners

Attorney

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer President & CEO	Other			
DEBELLO JAMES B 8911 BALBOA AVENUE SUITE B SAN DIEGO, CA 92123	X		President & CEO				
Signatures							
/s/ John M. Thornton, by Power	of	07	/23/2015				

**Signature of Reporting Person Date

Reporting Owners 2

07/23/2015

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 4,
- (1) 2015 (the "Trading Plan"). Pursuant to the Trading Plan, Mr. DeBello, may sell, on a periodic basis, vested stock options that would otherwise expire on October 19, 2015, and November 18, 2015.
- (2) This sale was effected pursuant to the Trading Plan. In addition to the common stock and restricted stock units referenced in footnote 3 below, following this sale Mr. DeBello holds 1,255,658 Mitek stock options.
- (3) Comprised of 434,636 shares of common stock and 88,956 shares subject to restricted stock awards held by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.