### BETZ HANS GEORG DR

Form 4/A August 04, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BETZ HANS GEORG DR |                  |          | 2. Issuer Name and Ticker or Trading Symbol ADVANCED ENERGY INDUSTRIES INC [AEIS] | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |
|--|------------------|----------|---|--|
| (Last) 1625 SHARF  | (First) POINT DR | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 07/21/2011                       | _X_ Director 10% Owner Other (specify below) CEO   |
| FORT COLL  | (Street)         | 525      | 4. If Amendment, Date Original Filed(Month/Day/Year) 08/04/2011                   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |
| (City)   | (State)          | (Zip)    | Table I - Non-Derivative Securities Ac  | quired Disposed of or Reneficially Owner   |

| 10K1 COLLINS, CO 80323 |  |
|------------------------|--|
|                        |  |

| (City)                 | (State)                              | Zip) Table                    | e I - Non-D      | erivative Securities Ac         | equired, Disposed       | of, or Beneficia          | lly Owned             |
|------------------------|--------------------------------------|-------------------------------|------------------|---------------------------------|-------------------------|---------------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securities onAcquired (A) or | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3)             |                                      | any                           | Code             | Disposed of (D)                 | Beneficially            | (D) or                    | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8)       | (Instr. 3, 4 and 5)             | Owned                   | Indirect (I)              | Ownership             |
|                        |                                      |                               |                  |                                 | Following               | (Instr. 4)                | (Instr. 4)            |
|                        |                                      |                               |                  | (4)                             | Reported                |                           |                       |
|                        |                                      |                               |                  | (A)                             | Transaction(s)          |                           |                       |
| Common                 |                                      |                               | Code V           | Amount (D) Price                | (Instr. 3 and 4)        |                           |                       |

Common  $F_{-}^{(1)}$ 291 (2) D 07/21/2011 \$0 74,083 (3) (4) D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title a | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amount     | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underlyi   | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securitie  | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3  | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |            |        |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |               |             |            |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |            |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |            |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |            |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |            |        |             |        |
|             |             |                     |                    |            |            |               |             | Α.         |        |             |        |
|             |             |                     |                    |            |            |               |             |            | mount  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | or         |        |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |            | umber  |             |        |
|             |             |                     |                    |            |            |               |             | of         |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | Sł         | hares  |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| <b>F-</b>                      | Director      | 10% Owner | Officer | Other |  |  |
| BETZ HANS GEORG DR             |               |           |         |       |  |  |
| 1625 SHARP POINT DRIVE         | X             |           | CEO     |       |  |  |
| FORT COLLINS, CO 80525         |               |           |         |       |  |  |

# **Signatures**

/s/ Thomas O. McGimpsey
(Attorney-in-Fact)

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Correction The surrendered shares are returned to the Plan and the Company pays the market price to the IRS to satisfy the taxes.
- (2) Payment of tax liability of withholding securities incident to vesting to restricted stock units.
- (3) Represents 74,083 shares of Restricted Stock Units. As of the 7/21/11 reporting date, 45,955 are vested. In a subsequent grant dated 7/22/11, 3,750 shares of Restricted Stock Units were granted for a total of 74,833 shares of Restricted Stock Units.
- (4) Effective 8/4/2011 Dr. Betz is exiting the system as he no longer holds the position of CEO and is no longer a Director or Advanced Energy Industries, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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