SCHATZ DOUGLAS S

Form 4 July 12, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

(State)

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * SCHATZ DOUGLAS S			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			ADVANCED ENERGY INDUSTRIES INC [AEIS]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	_X_ Director 10% Owner		
DO DOV 40	1		(Month/Day/Year)	Officer (give title Other (specify below)		
P.O. BOX 48	1		07/11/2011			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
				X Form filed by One Reporting Person		
FORT COLLINS, CO 80522				Form filed by More than One Reporting		

(City)	(State)	(Zip) Tal	ole I - Non-	Derivative Securities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature o
Security	(Month/Day/Year)	Execution Date, if	Transaction	omr Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership
					Following	or Indirect	(Instr. 4)
					Reported	(I)	

(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 8)			Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	07/11/2011		S	1,800 (1) (2)	D	\$ 13.06	3,402,395	I	By Trust
Common Stock	07/11/2011		S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.065	3,402,295	I	By Trust
Common Stock	07/11/2011		S	1,513 (1) (2)	D	\$ 13.07	3,400,782	I	By Trust
Common Stock	07/11/2011		S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.075	3,400,682	I	By Trust
Common Stock	07/11/2011		S	800 <u>(1)</u> <u>(2)</u>	D	\$ 13.08	3,399,882	I	By Trust

of

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Common Stock	07/11/2011	S	1,800 (1) (2)	D	\$ 13.09	3,398,082	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.095	3,397,982	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.1	3,397,882	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.115	3,397,782	I	By Trust
Common Stock	07/11/2011	S	300 <u>(1)</u> <u>(2)</u>	D	\$ 13.125	3,397,482	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.1275	3,397,382	I	By Trust
Common Stock	07/11/2011	S	3,000 (1) (2)	D	\$ 13.13	3,394,382	I	By Trust
Common Stock	07/11/2011	S	300 <u>(1)</u> <u>(2)</u>	D	\$ 13.135	3,394,082	I	By Trust
Common Stock	07/11/2011	S	1,000 (1) (2)	D	\$ 13.14	3,393,082	I	By Trust
Common Stock	07/11/2011	S	300 <u>(1)</u> <u>(2)</u>	D	\$ 13.145	3,392,782	I	By Trust
Common Stock	07/11/2011	S	2,000 (1) (2)	D	\$ 13.15	3,390,782	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.16	3,390,682	I	By Trust
Common Stock	07/11/2011	S	(2)	_	\$ 13.17	3,389,982	I	By Trust
Common Stock	07/11/2011	S	200 <u>(1)</u> <u>(2)</u>	D	\$ 13.175	3,389,782	I	By Trust
Common Stock	07/11/2011	S	1,600 (1) (2)	D	\$ 13.18	3,388,182	I	By Trust
Common Stock	07/11/2011	S	400 <u>(1)</u> <u>(2)</u>	D	\$ 13.185	3,387,782	I	By Trust
Common Stock	07/11/2011	S	400 <u>(1)</u> <u>(2)</u>	D	\$ 13.19	3,387,382	I	By Trust
Common Stock	07/11/2011	S	300 <u>(1)</u> <u>(2)</u>	D	\$ 13.195	3,387,082	I	By Trust
Common Stock	07/11/2011	S	100 <u>(1)</u> <u>(2)</u>	D	\$ 13.1975	3,386,982	I	By Trust
Common Stock	07/11/2011	S	6,200 (1) (2)	D	\$ 13.2	3,380,782	I	By Trust
	07/11/2011	S		D	\$ 13.205	3,378,082	I	By Trust

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Common Stock			2,700 (1) (2)				
Common Stock	07/11/2011	S	$\begin{array}{cc} 200 \underline{^{(1)}} & D \end{array}$	\$ 13.2075	3,377,882	I	By Trust
Common Stock	07/11/2011	S	2,639 (1) (2) D	\$ 13.21	3,375,243	I	By Trust
Common Stock	07/11/2011	S	$\frac{700}{\stackrel{(1)}{\underline{(2)}}}$ D	\$ 13.215	3,374,543	I	By Trust
Common Stock	07/11/2011	S	$ \begin{array}{cc} 1,500 \\ \underline{^{(1)}}_{(2)} & D \end{array} $	\$ 13.22	3,373,043	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
SCHATZ DOUGLAS S P.O. BOX 481 FORT COLLINS, CO 80522	X							
Signatures								

Signatures

/s/ Thomas O. McGimpsey (Attorney-in-Fact) 07/13/2011

Reporting Owners 3

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Douglas S. Schatz and Jill E. Schatz, husband and wife, are co-trustees of the Family Trust and may be deemed to be indirect beneficial owners of the shares of AEIS common stock held directly by the Family Trust. Douglas S. Schatz is a director of AEIS.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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