BOWNE & CO INC Form 5 February 14, 2003

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

## FORM 5

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

O Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). O Form 3 Holdings Reported x Form 4 Transactions Reported

1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) Baker, Robert, J.

(Last) (First) (Middle) Bowne & Co., Inc. (BNE)

Bowne & Co., Inc., 345 Hudson Street

4. Statement for Month/Year 5. If Amendment, Date of Original (Month/Year) 12/31/2002

#### (Street) 6. Relationship of Reporting Person(s)

to Issuer (Check All Applicable) 7. Individual or Joint/Group Reporting (Check Applicable Line) New York, NY 10014

(City)(State)(Zip)ODirector O10%OwnerXForm filed by One Reporting PersonXOfficer (give title below)OForm filedby More than One Reporting PersonOOOOForm filed by One Reporting PersonSr. Vice President

\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities **Beneficially Owned** at the End of Issuer's **Fiscal Year** (Instr. 3 and 4) 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Amount (A)

or

(D) Price

Common Stock, Par Value \$.01 per Share V 43,965 (1) D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

#### (A) (D)

Options to Buy Common Stock \$10.580000 12/19/2002 A 23,000

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned - Continued
(e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and Expiration Date			
( <i>Month/Day/Year</i> ) 7. Title and Amount of			
Underlying Securities			
(Instr. 3 and 4) 8. Price of Derivative Security			
(Instr. 5) 9. Number of Derivative Securities Beneficially Owned at End of Year			
( <i>Instr. 4</i> ) <b>10.</b> Ownership of Derivative Security: Direct (D) or Indirect (I)			
(Instr. 4) 11. Nature of Indirect Beneficial Ownership			
(Instr. 4)			
Date			
Exercisable Expiration			
Date Title Amount or			

#### **Explanation of Responses:**

(1) The number of shares of Common Stock beneficially owned as of the date reported including the number of deferred stock units credited to the Reporting Person under Company plans, as permitted under applicable SEC rules, and shares held in the Company's Employee Stock Purchase Plan. (2) Non-Qualified stock options, granted under the Company's 1999 Incentive Compensation Plan, becoming exercisable in 50% increments on the two subsequent anniversaries of the grant.

Robert J. Baker 2/14/2003

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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