Edgar Filing: SONEX RESEARCH INC - Form 4

SONEX RESEARCH INC

Form 4

November 20, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 4 - STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 |
|---|
| Check if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
| 1. Name and Address of Reporting Person: |
| Drewanz, John H. 23 Hudson Street Annapolis, MD 21401 |
| 2. Issuer Name and Ticker or Trading Symbol: |
| Sonex Research, Inc. (SONX) |
| 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary): |
| 4. Statement date: November 20, 2002 |
| 5. If Amendment, date of original: |
| 6. Relationship of Reporting Person(s) to Issuer (Check all applicable): |
| _X_ Director 10% Owner Officer(give title below) Other(specify) |
| 7.Individual or joint/group filing (check applicable line): _X_ Form filed by one Reporting Person Form filed by more than one Reporting Person |
| |

Table I - Non-Derivative Securities Acquired, Disposed of, & Beneficially Owned

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- 1. Title of Security
- 2. Transaction Date
- 3. Transaction Code
- 4. Securities Acquired (A) or Disposed of (D)
- 5. Total Amount of Securities Beneficially Owned
- 6. Ownership Form: Direct (D) or Indirect (I)
- 7. Nature of Indirect Beneficial Ownership

1. 2. 3. 4. 4. 4. 5. 6. 7.

Title Date Code Amount A/D Price Total Owned Form Nature

Common 800,000 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts calls warrants options, convertible securities)

- 1. Title of Derivative Security
- 2. Conversion or Exercise Price of Derivative Security
- 3. Transaction Date
- 4. Transaction Code **
- 5. Number of Derivative Securities Acquired (A) or Disposed of (D)
- 6. Date Exercisable and Expiration Date
- 7. Title and Amount or Number of Underlying Securities
- 8. Price of Derivative Security
- 9. Number of Derivative Securities Beneficially Owned
- 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)
- 11. Nature of Indirect Beneficial Ownership

| | 1. Title | | | 6. Date Date Exercisable Expires |
|---------|-----------------------------|-----------------|----------------------|----------------------------------|
| | | Securities | 8. 9. Derivative Sec | curities |
| Option | (right to buy) Common Stock | | | (1) 11/17/12 D |
| Option | (right to buy) Common Stock | | 25,000 | (2) 12/19/11 D |
| Option | (right to buy) Common Stock | \$.25 25,000 | | current 9/30/11 D |
| _ | (right to buy) Common Stock | \$.25 50,000 | 50,000 | current 9/30/11 D |
| Warrant | (right to buy) Common Stock | \$.50 75,000 | | current 3/29/06 D |

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Total of underlying securities 200,000

** Transaction codes used: J - grant of option

Explanation of Responses:

- 1) Ten-year option exercisable 25% as of January 1, 2003 and 25% on January 1, 2004, 2005 and 2006.
- 2) Ten-year option exercisable 25% as of the 12/20/01 date of grant and 25% on each subsequent anniversary of the date of grant.

/s/ John H. Drewanz 11/20/02

**Signature of Reporting Person Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).