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NICHOLAS FINANCIAL INC Form 8-K May 09, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 8-K

## **CURRENT REPORT**

## PURSUANT TO SECTION 13 OR 15(d) OF THE

#### **SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported) May 9, 2016

## NICHOLAS FINANCIAL, INC.

(Exact name of registrant as specified in its Charter)

British Columbia, Canada (State or Other Jurisdiction of Incorporation or Organization) 0-26680 (Commission File Number) 8736-3354 (I.R.S. Employer Identification No.)

2454 McMullen Booth Road, Building C 33759

## Clearwater, Florida (Address of Principal Executive Offices)

(Zip Code)

(727) 726-0763

(Registrant s telephone number, Including area code)

## Not applicable

(Former name, former address and former fiscal year, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## **Item 2.02 Results of Operations and Financial Condition**

May 9, 2016 Clearwater, Florida - Nicholas Financial, Inc. (NASDAQ: NICK) announced that for the three months ended March 31, 2016, diluted earnings per share increased 6% to \$0.35 as compared to \$0.33 for the three months ended March 31, 2015. Net earnings were \$2,725,000 and \$3,848,000 for the three months ended March 31, 2016 and 2015, respectively. Revenue increased 6% to \$23,238,000 for the three months ended March 31, 2016 as compared to \$21,934,000 for the three months ended March 31, 2015.

#### **Item 9.01 Financial Statements and Exhibits**

## **Exhibit #** Description

99.1 Press release dated May 9, 2016.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, hereunto duly authorized.

## NICHOLAS FINANCIAL, INC.

(Registrant)

Date: May 9, 2016 /s/ Ralph T. Finkenbrink

Ralph T. Finkenbrink

Chairman, President, Chief Executive Officer

(Principal Executive Officer)

Date: May 9, 2016 /s/ Katie L. MacGillivary

Katie L. MacGillivary

Vice President, Chief Financial Officer

(Principal Financial Officer and Accounting Officer)

# **Exhibit Index**

# **Exhibit** Description

99.1 Press release dated May 9, 2016.