

Rubicon Technology, Inc.  
Form 8-K  
August 21, 2015

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d)**  
**of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): August 6, 2015**

**RUBICON TECHNOLOGY, INC.**

**(Exact name of registrant as specified in its charter)**

**Delaware**  
**(State or other jurisdiction**  
  
**of incorporation)**

**001-33834**  
**(Commission**  
  
**File Number)**

**36-4419301**  
**(I.R.S. Employer**  
  
**Identification No.)**

**900 East Green Street**

**Bensenville, Illinois**  
**(Address of principal executive offices)**

**(847) 295-7000**

**60106**  
**(Zip Code)**

**(Registrant's telephone number, including area code)**

**N/A**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 1.01 Entry into a Material Definitive Agreement.**

On August 6, 2015, Rubicon Technology, Inc. (the Company ) entered into a First Amendment Agreement (the Amendment ) with Silicon Valley Bank (the Lender ), which amends the Loan and Security Agreement dated as of January 2, 2013 (the Loan Agreement ) by and between the Company and the Lender. The Amendment extends the maturity date from January 2, 2016 to January 2, 2018 and amends the calculation of the Unused Revolving Line Facility Fee (as defined in the Loan Agreement). The Amendment also modifies the definition of Borrowing Base to amend the calculation of the amount and broadens the definition of Eligible Foreign Accounts to include additional accounts.

**Item 2.03 Creation of a Direct Financial Obligation or an Obligation under an Off-Balance Sheet Arrangement of a Registrant.**

The information set forth in Item 1.01 of this Current Report on Form 8-K is incorporated by reference into this Item 2.03.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

RUBICON TECHNOLOGY, INC.

Dated: August 21, 2015

By: /s/ Mardel A. Graffy  
Name: Mardel A. Graffy  
Title: Chief Financial Officer