### Edgar Filing: JOHNSON CONTROLS INC - Form 4

JOHNSON CONTROLS INC Form 4 January 23, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed By

Romeo and Dye's

Section 16 Filer www.section16.net

OMB APPROVAL

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ado Archer, Dennis			me and Tic ntrols, Inc		Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
(Last) 5757 N. Green F P.O. Box 591	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)					Statement for onth/Day/Year <b>nuary 22, 2003</b>	1(	X Director 10% Owner Officer (give title below) Other (specify below)					
(Street) Milwaukee, WI 53201-0591							Da	5. If Amendment, Date of Original (Month/Day/Year)		<ul> <li>7. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			
(City)	(State) (	(Zip)	Г	able	I Non-D	erivati	ive Se	urities Acquired, Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	action	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans action C (Instr. 8 Code	Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	posed o		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) Ownership (Instr. 4) (Instr. 4)			
Common Stock									400	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts calls warrants options convertible securities)

(e.g., puts, cans, warrants, options, convertible securities)													
1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. N		
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Inc		
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Benef		
	Price of		Date,	Code	Derivati	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Owne		
(Instr. 3)	Derivative	(Month/	if any		Securitie	(Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr		
	Security	Day/	(Month/	(Instr.	Acquire	đ			Following	ative			
		Year)	Day/	8)	(A) or				Reported	Security:			
			Year)		Dispose	đ			Transaction(s)	Direct			

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					of (l (Ins 3, 4 5)	tr.							(D) or Indirect (I) (Instr. 4)	
			Code	v	(A)			Expira- tion Date		Amount or Number of Shares				
Phantom Stock Units/Directors' Deferred Comp Plan	1- for - 1	1/22/2003	Α		378		(1)		Common Stock	378	\$79.22	<b>661</b> <sup>(3)</sup>	D	
Phantom Stock Units/Directors' Retirement Stock Plan	1 - for - 1						(2)		Common Stock	707.07		707.07 <u>(4)</u>	D	

Explanation of Responses:

(1) The Phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.

(2) The phantom stock units were accrued under the Johnson Controls Directors' Retirement Stock Plan and are to be settled 100% in cash upon the reporting person's retirement.

(3) Includes 1 phantom stock unit acquired pursuant to dividend reinvestment on January 2, 2003, at a price of \$82.12 per phantom stock unit. (4) Includes 3.09 phantom stock units acquired pursuant to dividend reinvestment on January 2, 2003, at a price of \$82.12 per phantom stock unit.

### By: /s/ Arlene D. Gumm

Attorney-In-Fact for Dennis W. Archer

<u>1/23/03</u> Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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