AtriCure, Inc. Form 3 August 18, 2005

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

SHIP OF Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AtriCure, Inc. [ATRC] HARRISON DONALD C (Month/Day/Year) 08/04/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 525 UNIVERSITY AVENUE, (Check all applicable) **SUITE 1400** (Street) 6. Individual or Joint/Group 10% Owner _X__ Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Person PALO ALTO, Â CAÂ 94301 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 65,789 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable	Title	Derivative	Security:	
			Security	Direct (D)	

Edgar Filing: AtriCure, Inc. - Form 3

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	98,135	\$ 0 (1)	D	Â
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	29,367	\$ 0 (1)	D	Â
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	397,809	\$ 0 (1)	I	Held by CLS I-IV, LLC (4)
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	4,771	\$ 0 (1)	I	Held by Charter Advisors Fund IV, L.P. (5)
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	15,130	\$ 0 (1)	I	Held by Charter Entrepreneurs Fund IV, L.P. (6)
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	351,691	\$ 0 (1)	I	Held by CLS I-IV, LLC (4)
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	4,218	\$ 0 (1)	I	Held by Charter Advisors Fund IV, L.P. (5)
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	13,376	\$ 0 (1)	I	Held by Charter Entrepreneurs Fund IV, L.P. (6)
Preferred Stock Warrants (Right to Buy)	08/10/2005	(2)	Series B Convertible Preferred Stock (1)	8,810	\$ 5.43	D	Â
Preferred Stock Warrants (Right to Buy)	08/10/2005	(2)	Series B Convertible Preferred Stock (1)	34,833	\$ 5.43	I	Held by CLS I-IV, LLC (4)
Preferred Stock Warrants (Right to Buy)	08/10/2005	(2)	Series B Convertible Preferred Stock (1)	661	\$ 5.43	I	Held by Charter Advisors Fund IV, L.P. (5)
Preferred Stock Warrants (Right to Buy)	08/10/2005	(2)	Series B Convertible Preferred Stock (1)	1,865	\$ 5.43	I	Held by Charter Entrepreneurs Fund IV, L.P. (6)
Stock Option (Right to Buy)	03/29/2002(3)	03/29/2011	Common Stock	11,315	\$ 0.57	D	Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

HARRISON DONALD C
525 UNIVERSITY AVENUE, SUITE 1400 Â X Â

PALO ALTO, CAÂ 94301

Signatures

/s/ Thomas J. Etergino as Attorney-in-Fact for Donald C. Harrison

08/15/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of Preferred Stock convert on a 1-for-1 basis into Common Stock upon the closing of the Issuer's initial public offering.
- (2) Warrants expire on August 10, 2006 (one year from the date of the Issuer's initial public offering).
- (3) Exercisable cumulatively at a rate of 25% per annum commencing on March 29, 2002 (one year from the date of grant).
- (4) Dr. Harrison is a manager of CLS I-IV, LLC and disclaims beneficial ownership of the securities held by this entity, except as to his pecuniary interest therein.
- (5) Dr. Harrison disclaims beneficial ownership of the securities held by this entity, except as to his pecuniary interest therein.
- (6) Dr. Harrison disclaims beneficial ownership of the securities held by this entity, except as to his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3