Edgar Filing: Mokkarala Harsha - Form 4

| Mokkarala H | Iarsha | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------|-------------|--------------|------------------|-----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------|--|
| Form 4 | 10 | | | | | | | | | | |
| April 03, 201 | _ | | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 | F CHANGES IN BENEFICIAL OWNERSH SECURITIES Section 16(a) of the Securities Exchange Act of | | | | | | Expires: January 20 Estimated average burden hours per response | | | | |
| obligation may cont <i>See</i> Instru 1(b). | ns Section 1 | 7(a) of the | Public Ut | | ling Con | npany | y Act of | 1935 or Section | n | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Mokkarala Harsha | | | 2. Issuer Name and Ticker or Trading Symbol 2U, Inc. [TWOU] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of | Earliest Tr | ansaction | | | (Chec | к ан аррисале |) | |
| C/O 2U, IN ROAD | C., 7900 HAR | KINS | (Month/D 04/02/20 | - | | | | Director X Officer (give below) Chief | | Owner er (specify er | |
| | (Street) 4. If Amer Filed(Mon | | | | - | 1 | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| LANHAM, | MD 20706 | | | | | | | Form filed by M Person | lore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/D | | | - | | | | d of (D) | Securities Beneficially Owned | | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 04/02/2018 | | | F | 2,670 | D | \$ 83.78 | 32,257 | D | | |
| Common Stock (1) | 04/02/2018 | | | А | 8,925 (2) | А | \$ 0 | 41,182 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|
| | | | | Code V | (A) (D | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 84.03 | 04/02/2018 | | А | 19,199 | (3) | 04/02/2028 | Common Stock | 19,199 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---------------------------------------------------------------------------|---------------|------------|-----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Mokkarala Harsha C/O 2U, INC. 7900 HARKINS ROAD LANHAM, MD 20706 | | | Chief Revenue Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Matthew Norden, attorney-in-fact | | 04/03/2018 | ; | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security represents restricted stock units granted to the reporting person. Each restricted stock unit represents a contingent right to receive one share of common stock of the issuer.
- (2) The restricted stock unit award will vest as to one-fourth of the underlying shares on each of April 1, 2019, 2020, 2021 and 2022, subject to the reporting person's continued service with the issuer as of the applicable vesting date.

The option award will vest as follows: 25% of the underlying shares will vest on April 1, 2019, and the remaining 75% of the underlying(3) shares will vest in equal monthly installments each month thereafter for 36 months, subject to the reporting person's continued service with the issuer as of the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.