Edgar Filing: VEECO INSTRUMENTS INC - Form 4

VEECO INSTRUMENTS INC

Form 4

November 06, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

Expires:

3235-0287 Number:

OMB APPROVAL

January 31, 2005

0.5

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Glass David D.

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

VEECO INSTRUMENTS INC [VECO]

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 11/04/2013

Director 10% Owner X_ Officer (give title Other (specify

EVP & CFO

VEECO INSTRUMENTS INC., TERMINAL DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

PLAINVIEW, NY 11803

		14.01	CI TON D	orrani e beca	ricies ricq	un cu, Disposeu o	i, or beneficial	ij O Wilcu
1.Title of	2. Transaction Date		3.	4. Securities A	*	5. Amount of	6. Ownership	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Code	nsaction(A) or Disposed of (D) le (Instr. 3, 4 and 5)		Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
		(Month/Day/Year)	(Instr. 8)	` '	ŕ	Owned	Indirect (I)	Ownership
						Following Reported	(Instr. 4)	(Instr. 4)
				(A))	Transaction(s)		
			Code V	Amount (D)	Price	(Instr. 3 and 4)		
Common Stock	11/04/2013		M	667 <u>(1)</u> A	\$0	35,563	D	
Common Stock	11/04/2013		F	247 (2) D	\$ 29.32	35,316	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exer	cisable and	7. Title and		8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactionof		Expiration Date		of Underlying		Derivati
Security	or Exercise		any	Code	Derivative	(Month/Day	(Year)	Securities		Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. 3 and	4)	(Instr. 5
	Derivative				Acquired					
	Security				(A) or					
	•				Disposed					
					of (D)					
					(Instr. 3, 4,					
					and 5)					
									Amount	
						_			or	
						Date	Expiration	Title	Number	
						Exercisable	Date		of	
				Code V	(A) (D)				Shares	
					(11)				STATES.	
Restricted Stock Unit	\$ 0 (1)	11/04/2013		M	667	<u>(1)</u>	(1)	common stock	667	\$ 0 <u>(</u>

Reporting Owners

Daniel Communication (Additional	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Glass David D. VEECO INSTRUMENTS INC. TERMINAL DRIVE PLAINVIEW, NY 11803

EVP & CFO

Signatures

Gregory A. Robbins, Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were acquired pursuant to a restricted stock unit award granted on June 11, 2010 under the Veeco Instruments 2010 Stock Incentive Plan. Vesting of these shares was conditioned on the achievement of certain performance criteria. This criteria was met and, as a result, the restrictions on one-third of these awards lapsed on August 2, 2011 (the "Initial Vesting Date"). The restrictions on an additional one-third of these shares was scheduled to lapse on each of the first and second anniversaries of the Initial Vesting Date. The vesting of the award scheduled to vest in August 2013 was delayed until November 4, 2013 due to Veeco's accounting review and Veeco's inability to file its 2012 annual report on Form 10-K with the SEC until November 4, 2013.

(2) Represents securities surrendered to Veeco to satisfy tax withholding obligations due upon the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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