SHAW THOMAS J

Form 4

Common

Common

Stock

Stock

11/27/2018

November 28, 2018

| FORM | ЛД | | | | | | | APPROVAL | |
|--|--|---|---|---|---|--|--|---|--|
| | UNITED | STATES | | RITIES AND EXCHASSINGUES AND EXCHASSINGUES AND EXCHANGE AND EXCEPTION AND EXCHANGE | | COMMISSION | OMB Number: | 3235-0287 | |
| | Check this box if no longer CHARLES OF CHARLES IN DESCRIPTION OF CHAR | | | | | | | January 31, 2005 | |
| subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFIC SECURITIES | | | | | AL OW | | ted average hours per | | |
| Form 5 obligation may con See Inst 1(b). | ons ntinue. Section 17(| (a) of the | Public U | 16(a) of the Securities Jtility Holding Compan nvestment Company A | ny Act of | f 1935 or Section | on | | |
| (Print or Type | Responses) | | | | | | | | |
| SHAW THOMAS J Sym | | | Symbol | er Name and Ticker or Trac | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | RETRA INC [R | ACTABLE TECHNOI RVP] | LOGIES | (Chec | ck all applicat | ele) | |
| 511 LOBO LANE (Monti 11/27 (Street) 4. If A | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/27/2018 | | | _X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) President and CEO | | | |
| | | | | nendment, Date Original onth/Day/Year) | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | | |
| LITTLE E | LM, TX 75068 | | | | | Form filed by M Person | More than One l | Reporting | |
| (City) | (State) | (Zip) | Tab | ble I - Non-Derivative Secu | ırities Acq | uired, Disposed o | f, or Benefici | ally Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/Da | Date, if | 3. 4. Securities A Transaction(A) or Dispose Code (Instr. 3, 4 and (Instr. 8) (A) or Code V Amount (D) | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |

3,206

(1)

0.6671

(2)

P

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

13,750,108

4,270,000

D

Voting or

Investment

Control (3)

Edgar Filing: SHAW THOMAS J - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title | and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orNumber | Expiration D | ate | Amount | t of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underly | ing | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securitie | es | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 | and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | ^ | mount | | |
| | | | | | | | | | mount | | |
| | | | | | | Date | Expiration | Title N | | | |
| | | | | | | Exercisable | Date | Title Number of | | | |
| | | | | C + V | (A) (D) | | | | | | |
| | | | | Code V | (A) (D) | | | S | hares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------|-------|--|--|--|
| Treporting of their remove requirements | Director | 10% Owner | Officer | Other | | | |
| SHAW THOMAS J 511 LOBO LANE LITTLE ELM, TX 75068 | X | X | President and CEO | | | | |

Signatures

Thomas J. Shaw 11/28/2018 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 Purchase Plan entered into October 29, 2018.
- Represents the weighted average purchase price. Mr. Shaw will provide to the SEC, the Issuer, or a security holder of the Issuer, full **(2)** information regarding the number of shares purchased at each price upon written request.
- Mr. Shaw has investment power over 1,500,000 shares as Trustee for the benefit of family members. Mr. Shaw has voting control over the remainder of the shares pursuant to a voting agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2