Edgar Filing: KINGSTONE COMPANIES, INC. - Form 4

KINGSTONE COMPANIES, INC. Form 4 January 05, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Seibald Jack D Issuer Symbol KINGSTONE COMPANIES, INC. (Check all applicable) [KINS] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 1336 BOXWOOD DRIVE WEST 01/04/2017 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting HEWLETT, NY 11557 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of 3. Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect Disposed of (D) (Instr. 3) Code Beneficially (D) or Beneficial any Indirect (I) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common \$0 01/04/2017 Α 2.000 $137,323 \xrightarrow{(3)} (2)$ D A (1) Stock Common Retirement 174,824 Ι Stock Trust Common See footnote 4.600 Ι Stock (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
			10% Owner	Officer	Other				
Seibald Jack D 1336 BOXWOOD DRIVE HEWLETT, NY 11557	WEST	Х							
Signatures									
/s/ Jack D. Seibald	01/05/2	2017							
******	D (

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received as director fees. Vests to the extent of 667 shares on each of January 4, 2018 and 2019 and 666 shares on January 4, 2020.
- (2) Includes 3,333 shares received as director fees. Vests to the extent of 1,334 shares on January 4, 2018, 1,333 shares on January 4, 2019 and 666 shares on January 4, 2020.
- (3) Includes 113,000 shares jointly owned with Mr. Seibald's wife.

Shares are owned by a limited partnership. Mr. Seibald may be deemed to have an indirect pecuniary interest in 100 shares owned by the limited partnership based upon his status as a general partner of the limited partnership and may be deemed to have an indirect

(4) pecuniary interest in an additional 4,500 shares owned by the limited partnership based upon his wife's status as a limited partner of the limited partnership. The filing of this form shall not be deemed an admission that Mr. Seibald is, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, the beneficial owner of any shares owned by the limited partnership.

Remarks:

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This filing shall not be deemed an admission that the Reporting Person is, for purposes of Section 16 of the Securities Exchange

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.