### Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

#### CABOT MICROELECTRONICS CORP

Form 4 April 06, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

04/01/2015

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WHITNEY SUSAN M Issuer Symbol CABOT MICROELECTRONICS (Check all applicable) CORP [CCMP] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) C/O CABOT 04/01/2015 **MICROELECTRONICS** CORPORATION, 870 N. **COMMONS DRIVE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AURORA, IL 60504 (City) (Zip) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of (D) Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) (D) Price Code Amount Common 04/01/2015  $A^{(1)}$ 2,000 2,000 D 50.19 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $A^{(2)}$ 

2,500

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

4.500

D

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number boof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 50.19	04/01/2015		A	6,000	04/01/2016(3)	04/01/2025	Common Stock	6,000
Stock Options (Right to Buy)	\$ 50.19	04/01/2015		A	7,500	04/01/2015(4)	04/01/2025	Common Stock	7,500

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

X

WHITNEY SUSAN M C/O CABOT MICROELECTRONICS CORPORATION 870 N. COMMONS DRIVE AURORA, IL 60504

## **Signatures**

/s/ H. Carol Bernstein (Power of Attorney) 04/03/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $(1) \quad \text{Directors' Annual (2015) Restricted Stock Unit award vesting schedule: } 100\% \text{ on } 4/01/2016.$
- (2) Directors' Initial Restricted Stock Unit award vesting schedule: 25% 4/01/2015, 25% 4/01/2016, 25% 4/01/2017, 25% 4/01/2018.
- (3) Directors' Annual (2015) Non-Qualified Stock Option award vesting scheduling: 100% on 4/01/2016.

Reporting Owners 2

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(4) Directors' Initial Non-Qualified Stock Option award vesting schedule: 25% 4/01/2015, 25% 4/01/2016, 25% 4/01/2017, 25% 4/01/2018. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.								