#### CORNING INC /NY

Form 4

August 28, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kinlin Clark S

(First)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading

(1)

CORNING INC /NY [GLW]

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

7. Nature of

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

ONE RIVERFRONT PLAZA

3. Date of Earliest Transaction

08/27/2014

Symbol

Director 10% Owner Other (specify X\_ Officer (give title below) below)

**Executive Vice President** 

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

CORNING, NY 14831

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code Amount (D) Price Common 08/27/2014 M 1,032 A \$0 69,600.012 D Stock Common 08/27/2014 \$0 D M 217 A 69,817.012 Stock Common 08/27/2014 1,211 \$0 D M A 71,028.012 Stock Common 08/27/2014 F 2,460 D \$ 20.49 68,568.012 D Stock \$ Common S 20.5703 08/27/2014 19,137 D 49,431.012 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(2)	08/27/2014		M		1,032	(3)	(3)	Common Stock	1,032	\$
Restricted Stock Unit	(2)	08/27/2014		M		217	<u>(4)</u>	<u>(4)</u>	Common Stock	217	\$
Restricted Stock Unit	(2)	08/27/2014		M		1,211	<u>(5)</u>	(5)	Common Stock	1,211	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Kinlin Clark S

ONE RIVERFRONT PLAZA CORNING, NY 14831

**Executive Vice President** 

### **Signatures**

Linda E. Jolly, Power of Attorney 08/28/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average. These shares were sold in multiple transactions at prices ranging from \$20.57 to \$20.58 inclusive. The reporting person undertakes to provide to Corning Incorporated, any security holder of Corning Incorporated, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth

Reporting Owners 2

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previously in this footnote.

- (2) Each restricted stock unit represented a contingent right to receive one share of Corning Incorporated Common Stock.
- (3) Vesting to satisfy tax requirement pursuant to the January 2012 agreement.
- (4) Vesting to satisfy tax requirement pursuant to the July 2012 agreement.
- (5) Vesting to satisfy tax requirement pursuant to the March 2013 agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.