## Edgar Filing: JOHNSON CONTROLS INC - Form 4

JOHNSON Form 4 May 30, 20	CONTROLS IN	С									
<b>FORM</b> Check if no lo subject Section Form 4 Form 5 obligati may co <i>See</i> Ins 1(b).	FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1934, Statement Company Act of 1940							OMB APPROVAL OMB 3235-028 Number: January 31 Expires: 200 Estimated average burden hours per response 0.			
<ul> <li>(Print or Type Responses)</li> <li>1. Name and Address of Reporting Person <u>*</u></li> <li>DAVIS SUSAN F</li> </ul>			8					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 5757 N. GREEN BAY AVENUE, P.O. BOX 591								(Check all applicable) DirectorX_ Officer (give title Other (specify below) EVP & CHRO			
MILWAU		Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Tal	hla I Nam	Devinetive	<b>C</b>		Person	on Don of stall	Ormal	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any				uired (A) o		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/29/2014			Code V M	Amount 123,000	or (D) A	Price \$ 22.5617	(Instr. 3 and 4)			
Common Stock	05/29/2014			D	123,000	D	\$ 48.3479 (1)	102,999.088 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
Employee Stock Option (Right to Buy)	\$ 22.5617	05/29/2014		М		123,000	11/16/2007	11/16/2015	Common Stock	123,0

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
Reporting Owner Funce, Francess	Director	10% Owner	Officer	Other			
DAVIS SUSAN F 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			EVP & CHRO				
Signatures							
/s/ Angela M. Blair, attorney-in-fac	et for Susa	an F.					
Davis			05/30/2014				
**Signature of Reporting Perso	on		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$48.25 to \$48.52, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the

- (1) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 1 of this Form 4.
- (2) Includes 83.088 units acquired via dividend reinvestment on January 6 and April 2, 2014 at prices ranging from \$49.11 to \$50.31 per unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.