CORNING INC /NY

Form 3 May 09, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Kinlin Clark S

(Last)

(First)

Statement

(Month/Day/Year)

05/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CORNING INC /NY [GLW]

4. Relationship of Reporting Person(s) to Issuer

Director

_X__ Officer

Filed(Month/Day/Year)

ONE RIVERFRONT PLAZA

(Street)

(Check all applicable)

10% Owner Other

(give title below) (specify below) Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

5. If Amendment, Date Original

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

CORNING, NYÂ 14831

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

(Middle)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Date Exercisable Expiration Security Direct (D) Date Amount or or Indirect Title Number of (I) Shares (Instr. 5)

Edgar Filing: CORNING INC /NY - Form 3

Stock Options (Right to Buy)	12/07/2006	12/06/2015	Common Stock	21,500	\$ 21.08	D	Â
Stock Options (Right to Buy)	01/03/2007	01/02/2015	Common Stock	16,250	\$ 11.84	D	Â
Stock Options (Right to Buy)	12/06/2007	12/05/2016	Common Stock	18,000	\$ 21.89	D	Â
Stock Options (Right to Buy)	01/02/2008	01/01/2016	Common Stock	10,750	\$ 19.68	D	Â
Stock Options (Right to Buy)	02/01/2008	01/31/2015	Common Stock	16,250	\$ 10.98	D	Â
Stock Options (Right to Buy)	12/05/2008	12/04/2017	Common Stock	22,000	\$ 24.92	D	Â
Stock Options (Right to Buy)	01/02/2009	01/01/2017	Common Stock	9,000	\$ 18.85	D	Â
Stock Options (Right to Buy)	02/01/2009	01/31/2016	Common Stock	10,750	\$ 24.72	D	Â
Stock Options (Right to Buy)	12/03/2009(1)	12/02/2018	Common Stock	39,666	\$ 8.67	D	Â
Stock Options (Right to Buy)	12/03/2009	12/02/2018	Common Stock	500	\$ 8.67	D	Â
Stock Options (Right to Buy)	01/02/2010	01/01/2018	Common Stock	11,000	\$ 23.37	D	Â
Stock Options (Right to Buy)	01/02/2010(2)	01/01/2019	Common Stock	39,667	\$ 10.05	D	Â
Stock Options (Right to Buy)	02/01/2010	01/31/2017	Common Stock	9,000	\$ 20.86	D	Â
Stock Options (Right to Buy)	02/02/2010(3)	02/01/2019	Common Stock	39,667	\$ 10.25	D	Â
Stock Options (Right to Buy)	12/02/2010(4)	12/01/2019	Common Stock	9,666	\$ 17.82	D	Â
Stock Options (Right to Buy)	01/04/2011(5)	01/03/2020	Common Stock	9,667	\$ 19.56	D	Â
Stock Options (Right to Buy)	02/01/2011	01/31/2018	Common Stock	11,000	\$ 24.61	D	Â
Stock Options (Right to Buy)	02/01/2011(6)	01/31/2020	Common Stock	9,667	\$ 18.16	D	Â
Stock Options (Right to Buy)	01/03/2012(7)	01/02/2021	Common Stock	10,615	\$ 19.19	D	Â
Stock Options (Right to Buy)	02/01/2012(8)	01/31/2021	Common Stock	8,978	\$ 22.69	D	Â
	03/01/2012(9)	02/28/2021		9,247	\$ 22.03	D	Â

Edgar Filing: CORNING INC /NY - Form 3

Stock Options (Right to Buy)			Common Stock				
Stock Options (Right to Buy)	01/03/2015	01/02/2022	Common Stock	19,971	\$ 13.04	D	Â
Stock Options (Right to Buy)	02/01/2015	01/31/2022	Common Stock	20,187	\$ 12.9	D	Â
Stock Options (Right to Buy)	03/01/2015	02/28/2022	Common Stock	20,078	\$ 12.97	D	Â
Stock Options (Right to Buy)	07/18/2015	07/17/2022	Common Stock	12,611	\$ 12.39	D	Â
Stock Options (Right to Buy)	03/28/2016	03/27/2023	Common Stock	26,792	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/29/2023	Common Stock	24,631	\$ 14.5	D	Â
Stock Options (Right to Buy)	05/31/2016	05/30/2023	Common Stock	23,236	\$ 15.37	D	Â
Stock Options (Right to Buy)	03/31/2017	03/30/2024	Common Stock	10,507	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/29/2024	Common Stock	10,462	\$ 20.91	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director 10% Owner		Officer	Other		
Kinlin Clark S ONE RIVERFRONT PLAZA CORNING, Â NYÂ 14831	Â	Â	Executive Vice President	Â		

Date

Signatures

Linda E. Jolly, Power of Attorney 05/09/2014

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three equal annual installments beginning on December 3, 2009.
- (2) The options vest in three equal annual installments beginning on January 2, 2010.
- (3) The options vest in three equal annual installments beginning on February 2, 2010.
- (4) The options vest in three equal annual installments beginning on December 2, 2010.
- (5) The options vest in three equal annual installments beginning on January 4, 2011.

Reporting Owners 3

Edgar Filing: CORNING INC /NY - Form 3

- (6) The options vest in three equal annual installments beginning on February 1, 2011.
- (7) The options vest in three equal annual installments beginning on January 3, 2012.
- (8) The options vest in three equal annual installments beginning on February 1, 2012.
- (9) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.