

Activision Blizzard, Inc.
Form SC 13D/A
November 17, 2011

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13D/A

**Under the Securities Exchange Act of 1934
(Amendment No. 1)***

Activision Blizzard, Inc.
(Name of Issuer)
Common Stock, \$0.000001 par value
(Title of Class of Securities)
00507V109
(CUSIP Number)
George E. Bushnell III, Esq.
Senior Vice President and Deputy General Counsel
Vivendi S.A
800 Third Avenue, 5th Floor
New York, New York 10022
Telecopy: (212) 572-7496
(Name, Address and Telephone Number of Person Authorized to
Receive Notices and Communications)
November 15, 2011
(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. ☐

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 00507V109

NAMES OF REPORTING PERSONS

1

Vivendi S.A.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

(a) ☐

(b) ☐

SEC USE ONLY

3

SOURCE OF FUNDS (SEE INSTRUCTIONS)

4

WC, BK, OO

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

N/A

☐

CITIZENSHIP OR PLACE OF ORGANIZATION

6

France

SOLE VOTING POWER

7

NUMBER OF

0

SHARES
BENEFICIALLY

8

SHARED VOTING POWER

OWNED BY

683,643,890

EACH

9

SOLE DISPOSITIVE POWER

REPORTING

PERSON 0

WITH SHARED DISPOSITIVE POWER

10

683,643,890

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

683,643,890

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

N/A

o

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

59.7%¹

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

HC

¹ Based upon 1,144,219,705 shares of common stock outstanding as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 9, 2011.

CUSIP No. 00507V109

NAMES OF REPORTING PERSONS

1

Vivendi Holding I Corp.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

(a) ☐

(b) ☐

SEC USE ONLY

3

SOURCE OF FUNDS (SEE INSTRUCTIONS)

4

OO

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

N/A

☐

CITIZENSHIP OR PLACE OF ORGANIZATION

6

Delaware

SOLE VOTING POWER

7

NUMBER OF

0

SHARES
BENEFICIALLY OWNED BY

8

SHARED VOTING POWER

683,643,890

EACH

9

SOLE DISPOSITIVE POWER

REPORTING

PERSON 0

WITH SHARED DISPOSITIVE POWER

10

683,643,890

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

683,643,890

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

N/A

o

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

59.7%²

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

CO

² Based upon 1,144,219,705 shares of common stock outstanding as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 9, 2011.

CUSIP No. 00507V109

NAMES OF REPORTING PERSONS

1

Vivendi Games Acquisition Company

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

(a) ☐

(b) ☐

SEC USE ONLY

3

SOURCE OF FUNDS (SEE INSTRUCTIONS)

4

OO

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

N/A

☐

CITIZENSHIP OR PLACE OF ORGANIZATION

6

Delaware

SOLE VOTING POWER

7

NUMBER OF 0

SHARES BENEFICIALLY OWNED BY EACH

8

SHARED VOTING POWER

683,643,890

SOLE DISPOSITIVE POWER

9

REPORTING

PERSON 0

WITH SHARED DISPOSITIVE POWER

10

683,643,890

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

683,643,890

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

N/A

o

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

59.7%³

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

CO

³ Based upon 1,144,219,705 shares of common stock outstanding as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 9, 2011.

CUSIP No. 00507V109

NAMES OF REPORTING PERSONS

1

VGAC LLC

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

2

(a) ☐

(b) ☐

SEC USE ONLY

3

SOURCE OF FUNDS (SEE INSTRUCTIONS)

4

OO

CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

N/A

☐

CITIZENSHIP OR PLACE OF ORGANIZATION

6

Delaware

SOLE VOTING POWER

7

NUMBER OF 0

SHARES BENEFICIALLY OWNED BY EACH

8

SHARED VOTING POWER

683,643,890

SOLE DISPOSITIVE POWER

9

REPORTING

PERSON 0

WITH SHARED DISPOSITIVE POWER

10

683,643,890

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

683,643,890

CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

12

N/A

o

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

59.7%⁴

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

14

OO

⁴ Based upon 1,144,219,705 shares of common stock outstanding as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 9, 2011.

This Amendment No. 1 (this Amendment) amends and supplements the Schedule 13D filed on July 18, 2008 (the Original Filing) by the Filing Persons relating to the common stock, par value \$0.000001 per share (Common Stock) of Activision Blizzard, Inc., a Delaware corporation (the Issuer). Information reported in the Original Filing remains in effect except to the extent that it is amended, supplemented, restated or superseded by information contained in this Amendment. Each capitalized term used herein but not defined in this Amendment shall have the meaning assigned to such term in the Original Filing.

Item 2 of the Original Filing is hereby amended as follows:

The third paragraph of Item 2 of the Original Filing is hereby amended and restated in its entirety to read as follows: The name, present principal occupation or employment (and the name, principal business and address of any corporation or other organization in which such employment is conducted) and citizenship of each director of Vivendi, VHI, VG Acquisition and VGAC are set forth in Schedules I-A, I-B, I-C and I-D, respectively, and are incorporated into this Item 2 by reference. The name, present principal occupation or employment and citizenship of each executive officer of Vivendi, VHI, VG Acquisition and VGAC are set forth in Schedules II-A, II-B, II-C and II-D, respectively, and are incorporated into this Item 2 by reference.

Item 5 of the Original Filing is hereby amended and restated as follows:

- (a) As of the date of this Amendment, the Filing Persons beneficially owned in the aggregate 683,643,890 Shares, constituting 59.7% of the outstanding Shares. The percentage of Shares owned is based upon 1,144,219,705 Shares outstanding as of November 1, 2011.
- (b) The number of Shares as to which there is sole power to vote or direct the vote, shared power to vote or direct the vote, sole power to dispose or direct the disposition, or shared power to dispose or direct the disposition for the Filing Persons is set forth on the cover page in items 7 through 10. The information in such items is incorporated herein by reference.
- (c) In the 60 days preceding the filing of this Amendment, the Filing Persons engaged in the following transactions in the Issuer's Common Stock (each such sale was effected directly by VGAC LLC as the direct owner of the Shares):

| Date | Transaction | Number of Shares Disposed | Price |
|--------------------|-------------------------------|---------------------------|---------|
| 11/15/2011 | Rule 144 Sale to Market Maker | 35,000,000 | \$12.05 |
| (d) Not applicable | | | |
| (e) Not applicable | | | |

Item 6 of the Original Filing is hereby amended by adding the following language at the end thereof:

In connection with a sale of Shares on November 15, 2011, Vivendi entered into a lock-up agreement with the purchaser of such Shares, pursuant to which Vivendi agreed not to sell, pledge or otherwise dispose of any additional Shares for a period of 90 days following such sale.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: November 17, 2011

VIVENDI S.A.

By: /s/ George E. Bushnell III
Name: George E. Bushnell III
Title: Senior Vice President and
Deputy General Counsel

VIVENDI HOLDING I CORP.

By: /s/ George E. Bushnell III
Name: George E. Bushnell III
Title: President

VIVENDI GAMES ACQUISITION COMPANY

By: /s/ George E. Bushnell III
Name: George E. Bushnell III
Title: President

VGAC LLC

By: /s/ George E. Bushnell III
Name: George E. Bushnell III
Title: President and Secretary

SCHEDULE I-A

The name and present principal occupation or employment (and the name, principal business and address of any corporation or other organization in which such employment is conducted) of each director of Vivendi are set forth below.

Unless otherwise specified, each person listed below is a citizen of France.

| Name | Present principal occupation or employment | Principal Business Address and Citizenship (if other than France) |
|---------------------------|---|---|
| <i>Management Board</i> | | |
| Jean-Bernard Lévy * | Chief Executive Officer and Chairman of the Management Board, Vivendi | 42, avenue de Friedland, 75008 Paris, France |
| Abdeslam Ahizoune | Chairman of the Management Board, Maroc Telecom | Avenue Annakhil, Hay Riad, Rabat, Morocco; citizen of Morocco |
| Philippe Capron | Chief Financial Officer, Vivendi | 42, avenue de Friedland, 75008 Paris, France |
| Frank Esser | Chief Executive Officer and Chairman, SFR | Tour Sequoia, 1 place Carpeaux, 92915 Paris La Defense cedex, France; citizen of Germany |
| Amos Genish | Chief Executive Officer and Vice-Chairman, GVT | Lourenço Pinto Street, Curitiba PR, Brazil; citizen of Israel |
| Lucian Grainge | Chief Executive Officer and Chairman, Universal Music Group | 2220 Colorado Avenue, Santa Monica 90404, USA; citizen of the UK |
| Bertrand Meheut | Chairman of the Management Board, Canal+ Group | 1 place du Spectacle, 92263 Issy Les Moulineaux cedex 9, France |
| <i>Supervisory Board:</i> | | |
| Jean-René Fourtou | Chairman of the Supervisory Board, Vivendi | 42, avenue de Friedland, 75008 Paris, France |
| Henri Lachmann | Chairman of the Supervisory Board, Schneider Electric SA | 35, rue Joseph Monier, 92500 Rueil-Malmaison, France |
| Claude Bébéar | Honorary Chairman of the Supervisory Board, Axa Group | 25, avenue Matignon 75008 Paris, France |
| Daniel Camus | Director, Valeo S.A. | 151, boulevard Haussmann, 75008 Paris, France |
| Jean-Yves Charlier | Chief Executive Officer, Promethean (UK) | Promethean House, Lower Philips Road, Blackburn, Lancashire BB1 5TH, UK; citizen of Belgium |

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| | | |
|-------------------------------|---|---|
| Maureen Chiquet | Chief Executive Officer and Chairman, Chanel | 9 West 57 th Street, New York, 10019, USA; citizen of the United States |
| Philippe Donnet | Director, Gecina | 164 Mount Pleasant Road, 298355 Singapore |
| Dominique Hériard Dubreuil | Chairwoman of the Board of Directors, Rémy Cointreau | 21, boulevard Haussmann, 75008 Paris, France |

| Name | Present principal occupation or employment | Principal Business Address and Citizenship (if other than France) |
|-----------------------------|---|--|
| Aliza Jabès | Chairwoman, Laboratoire Nuxe | Groupe Nuxe, 19, rue Péclet, 75015 Paris, France |
| Christophe de Margerie | Chief Executive Officer and Chairman, Total | 2, place Jean Millier, 92078 Paris La Défense 6, France |
| Pierre Rodocanachi | Chief Operating Officer, Management Patrimonial Conseil | 40, rue La Perouse, 75116 Paris, France |
| Jacqueline Tammenons Bakker | Business professional | Duinweg 85, 2585 The Hague, The Netherlands; citizen of The Netherlands |

* Mr. Levy, who is a director of the Issuer, beneficially owns 7,000 shares of the Issuer's common stock.

SCHEDULE I-B

The name and present principal occupation or employment (and the name, principal business and address of any corporation or other organization in which such employment is conducted) of each director of VHI are set forth below. All directors listed below are citizens of the United States, with the exception of Frederic Crepin, who is a citizen of France.

| Name | Present principal occupation or employment | Principal Business Address |
|------------------------|--|--|
| George E. Bushnell III | Senior Vice President, Deputy General Counsel, VHI | 800 Third Avenue, New York NY 10022 |
| Frederic Crepin * | Senior Vice President, Head of Legal Department, Vivendi | 42 avenue Friedland, 75008 Paris, France |
| Debra Ford | Director, Corporate Transactions, VHI | 800 Third Avenue, New York NY 10022 |

* Mr. Crepin, who is a director of the Issuer, beneficially owns 7,000 shares of the Issuer's common stock.

SCHEDULE I-C

The name and present principal occupation or employment (and the name, principal business and address of any corporation or other organization in which such employment is conducted) of each director of VG Acquisition are set forth below.

Each of the directors listed below are citizens of the United States.

| Name | Present principal occupation or employment | Principal Business Address |
|------------------------|--|-------------------------------------|
| George E. Bushnell III | Senior Vice President, Deputy General Counsel, VHI | 800 Third Avenue, New York NY 10022 |
| Debra Ford | Director, Corporate Transactions, VHI | 800 Third Avenue, New York NY 10022 |

SCHEDULE I-D

The name and present principal occupation or employment (and the name, principal business and address of any corporation or other organization in which such employment is conducted) of each director of VGAC are set forth below.

Each of the directors listed below are citizens of the United States.

| Name | Present principal occupation or employment | Principal Business Address |
|------------------------|--|-------------------------------------|
| George E. Bushnell III | Senior Vice President, Deputy General Counsel, VHI | 800 Third Avenue, New York NY 10022 |
| Debra Ford | Director, Corporate Transactions, VHI | 800 Third Avenue, New York NY 10022 |

SCHEDULE II-A

The name and present principal occupation or employment of each member of the management team of Vivendi are set forth below.

Each of the persons identified below is a citizen of France, with the exception of Simon Gillham, who is a citizen of Britain.

The business address for each person identified below is 42, avenue de Friedland, 75008 Paris, France.

| Name | Present principal occupation or employment |
|---------------------|--|
| Jean-Bernard Lévy * | Chief Executive Officer and Chairman of the Management Board, Vivendi; Chairman, Activision Blizzard; Chairman, GVT |
| Philippe Capron * | Chief Financial Officer and Member of the Management Board, Vivendi |
| Régis Turrini * | Senior Executive Vice President, Strategy and Development, Vivendi |
| Stéphane Roussel * | Senior Executive Vice President, Human Resources, Vivendi |
| Simon Gillham | Senior Executive Vice President, Communications and Sustainable Development, Vivendi |
| Jean-François Dubos | Senior Executive Vice-President and General Counsel; Secretary of the Management and Supervisory Boards, Vivendi |
| Sandrine Dufour | Executive Vice President, Innovation and Deputy Chief Financial Officer, Vivendi |

* Messrs. Lévy, Turrini, Capron and Roussel are each directors of the Issuer and each beneficially owns 7,000 shares of the Issuer's common stock.

SCHEDULE II-B

The name and title (at VHI) and present principal occupation or employment of each member of the management team of VHI are set forth below.

All executive officers listed below are citizens of the United States, with the exception of Sebastien Duforest, who is a citizen of Canada.

The business address of each of the executive officers listed below is 800 Third Avenue, 5th Floor, New York, New York 10022.

| Name and Title | Present Principal Occupation or Employment |
|---|---|
| George E. Bushnell III, President and Secretary | Senior Vice President, Deputy General Counsel, VHI |
| Greg Csernica, Vice President | Director, Administrative Services, VHI |
| Sebastien Duforest, Vice President | Vice President Audit, North America-Latin America, VHI |
| Debra Ford, Vice President, Assistant Secretary and Treasurer | Director, Corporate Transactions, VHI |
| Robert Greenberg, Vice President | Senior Vice President, Global Compensation and Benefits of Vivendi, VHI |
| Stuart Chessman, Assistant Secretary | Director, International Taxes, VHI |
| James Fisher, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Robert Moseley, Assistant Secretary | Director, Domestic Tax Accounting and Compliance, VHI |
| John O Keefe, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Brian Zuro, Assistant Secretary | Corporate Counsel, VHI |

SCHEDULE II-C

The name and title (at VG Acquisition) and present principal occupation or employment of each member of the management team of VG Acquisition are set forth below.

All executive officers listed below are citizens of the United States.

The business address of each of the executive officers listed below is 800 Third Avenue, 5th Floor, New York, New York 10022.

| Name and Title | Present Principal Occupation or Employment |
|---|---|
| George E. Bushnell III, President, Secretary and Controller | Senior Vice President, Deputy General Counsel, VHI |
| Debra Ford, Vice President, Assistant Secretary and Treasurer | Director, Corporate Transactions, VHI |
| Stuart Chessman, Assistant Secretary | Director, International Taxes, VHI |
| James Fisher, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Robert Moseley, Assistant Secretary | Director, Domestic Tax Accounting and Compliance, VHI |
| John O Keefe, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Brian Zuro, Assistant Secretary | Corporate Counsel, VHI |

SCHEDULE II-D

The name and title (at VGAC) and present principal occupation or employment of each member of the management team of VGAC are set forth below.

All executive officers listed below are citizens of the United States.

The business address of each of the executive officers listed below is 800 Third Avenue, 5th Floor, New York, New York 10022.

| Name and Title | Present Principal Occupation or Employment |
|---|---|
| George E. Bushnell III, President, Secretary and Controller | Senior Vice President, Deputy General Counsel, VHI |
| Debra Ford, Vice President, Assistant Secretary and Treasurer | Director, Corporate Transactions, VHI |
| Stuart Chessman, Assistant Secretary | Director, International Taxes, VHI |
| James Fisher, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Robert Moseley, Assistant Secretary | Director, Domestic Tax Accounting and Compliance, VHI |
| John O Keefe, Assistant Secretary | Assistant Director, Research & Planning, VHI |
| Brian Zuro, Assistant Secretary | Corporate Counsel, VHI |