

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

April 16, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Goldstein, Arthur L.  
 Ionics, Inc.  
 65 Grove Street  
 Watertown, MA 02272
2. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year  
 4/16/03
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans-<br>action<br>Date | 2A. Exec-<br>ution<br>Date | 3. Trans-<br>action<br>Code | 4. Securities Acquired (A)<br>or Disposed of (D)<br>Amount | A/<br>D | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported Trans(s) | Price |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|---------|--|-------|
| Common Stock         | 4/16/03                     |                            | A(1)                        | 1,484  | A       |  | 33.68 |
| Common Stock         | 4/16/03                     |                            | A(2)                        | 2,672  | A       | 21,292(3)  | 33.68 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Derivative<br>Security | 2. Con-<br>version<br>or Exer-<br>cise<br>Price of<br>Deriva-<br>tive<br>Secu-<br>rity | 3. Trans-<br>action<br>Date | 3A. Deemed<br>Execu-<br>tion<br>Date | 4. Trans-<br>action<br>Code | 5. Number of De<br>rivative Secu<br>rities Acqui<br>red(A) or Dis<br>posed of(D)<br>Amount | 6. Date Exer-<br>cisable and<br>Expiration<br>Date (Month/<br>Day/Year)<br>Date Expir-<br>ation<br>Date | 7. Title and Amount<br>of Underlying<br>Securities<br>Title and Number<br>of Shares | 8. P<br>of<br>vat<br>Sec<br>rit |
|---------------------------------------|--|-----------------------------|--------------------------------------|-----------------------------|--|---|---|---------------------------------|
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|--|-------|-------|--|--|--|--|--|--|--|--|
|  | Year) | Year) |  |  |  |  |  |  |  |  |
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Explanation of Responses:

- (1) Payment of director's retainer.
- (2) Payment of Director's Deferred Stock Award exempt under Rule 16b-3(d).
- (3) Includes Directors' Deferred Stock Award dividend equivalents.

SIGNATURE OF REPORTING PERSON

Arthur L. Goldstein  
/s/Arthur L. Goldstein

DATE  
4/16/03