## DAQO NEW ENERGY CORP.

Form SC 13G February 08, 2018

#### **SECURITIES**

**AND** 

**EXCHANGE** 

**COMMISSION** 

Washington,

D.C. 20549

#### **SCHEDULE**

13G

Under the

Securities

Exchange Act of

1934

(Amendment

No.\_\_\_)\*

Daqo New

Energy Corp.

(Name of

Issuer)

Ordinary

Shares, par

value \$0.0001

per share

(Title of Class

of Securities)

23703Q203\*\*

(CUSIP

Number)

January 29,

2018

(Date of Event

Which Requires

Filing of This

Statement)

Check the appropriate box to designate the rule pursuant to which this

Schedule is filed:	
" Rule 13d-1(b) ý Rule 13d-1(c) " Rule 13d-1(d)	
(Page 1 of 8 Pages)	

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

\*\*There is no CUSIP number assigned to the Ordinary Shares. CUSIP number 23703Q203 has been assigned to the American Depositary Receipts ("ADRs") of the Company, which are quoted on the New York Stock Exchange under the symbol "DQ." Each ADR represents 25 Ordinary Shares.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

## CUSIP No. 23703Q203 13G Page 2 of 8 Pages

```
NAME OF
             REPORTING
             PERSON
1
             Numeric Investors
             LLC
             CHECK
             THE
             APPROPRIATE
2
             BOX IF A
             MEMBER (b) "
             OF A
             GROUP
3
             SEC USE ONLY
             CITIZENSHIP OR
             PLACE OF
             ORGANIZATION
4
             State of Delaware
                  SOLE
                  VOTING
             5
                  POWER
                  0
                  SHARED
                  VOTING
                  POWER
             6
NUMBER OF
                  15,536,150
SHARES
                  Ordinary
BENEFICIALLY
                  Shares
OWNED BY
                  SOLE
EACH
                  DISPOSITIVE
REPORTING
                  POWER
PERSON WITH:
                  0
                  SHARED
                  DISPOSITIVE
                  POWER
             8
                  15,536,150
                  Ordinary
                  Shares
9
             AGGREGATE
             AMOUNT
             BENEFICIALLY
             OWNED BY EACH
             REPORTING
```

## **PERSON**

15,536,150 Ordinary

Shares

CHECK BOX

IF THE

AGGREGATE AMOUNT IN ..

10 ROW (9)

EXCLUDES CERTAIN SHARES

PERCENT OF

**CLASS** 

REPRESENTED BY

11 AMOUNT IN ROW

(9)

5.91% TYPE OF REPORTING

12 PERSON

00

# CUSIP No. 23703Q203 13G Page 3 of 8 Pages

1	NAME OF REPORTING PERSON		
2 3 4	Man Group plc CHECK THE APPROPRIATE BOX IF A MEMBER (b) " OF A GROUP SEC USE ONLY CITIZENSHIP OR PLACE OF ORGANIZATION		
	United Kingdom		
	5	SOLE VOTING POWER	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH:	6 7	0 SHARED VOTING POWER 15,536,150 Ordinary Shares SOLE DISPOSITIVE POWER	
	8	0 SHARED DISPOSITIVE POWER 15,536,150	
9	AMOU BENE OWNI	FICIALLY ED BY EACH RTING	

15,536,150 Ordinary

Shares

CHECK BOX

IF THE

AGGREGATE

AMOUNT IN ..

10 ROW (9)

**EXCLUDES** 

CERTAIN SHARES

PERCENT OF

**CLASS** 

REPRESENTED BY

11 AMOUNT IN ROW

(9)

5.91%

TYPE OF

REPORTING

12 PERSON

OO, HC

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#### Item 1(a). NAME OF ISSUER:

The name of the issuer is Daqo New Energy Corp., a Cayman Islands company (the "Company").

# Item 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

The Company's principal executive offices are located at 666 Longdu Avenue, Wanzhou, Chongqing 404000, People's Republic of China

# Item 2(a). NAME OF PERSON FILING:

This statement is filed by the entities and persons listed below, who are collectively referred to herein as the "Reporting Persons" with respect to the Ordinary Shares (as defined in Item 2(d) below):

**Investment Manager:** 

Numeric Investors LLC (the "Investment Manager"), with respect to the Ordinary Shares held by certain funds (i) and/or managed accounts to which the Investment Manager serves as investment manager (collectively, the "Numeric Funds").

#### Parent Company:

(ii) Man Group plc (the "Parent Company"), which indirectly, through various intermediate entities, controls the Investment Manager, with respect to the Ordinary Shares held by each of the Numeric Funds.

### Item 2(b). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE:

## Item 2(c). CITIZENSHIP:

This statement is filed by:

Numeric Investors LLC

470 Atlantic Avenue,6th Floor

(i) Boston, MA 02210

Citizenship: State of Delaware

Man Group Plc

Riverbank House

2 Swan Lane

(ii)

London EC4R 3AD

United Kingdom

Citizenship: United Kingdom

# Item 2(d). TITLE OF CLASS OF SECURITIES:

Ordinary Shares, par value \$0.0001 per share (the "Ordinary Shares").

# Item 2(e). CUSIP NUMBER:

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There is no CUSIP number assigned to the Ordinary Shares. CUSIP number 23703Q203 has been assigned to the ADRs of the Company, which are quoted on the New York Stock Exchange under the symbol "DQ." Each ADR represents 25 Ordinary Shares.

# Item IF THIS STATEMENT IS FILED PURSUANT TO RULES 13d-1(b) OR 13d-2(b) OR (c), CHECK 3. WHETHER THE PERSON FILING IS A:

- (a) "Broker or dealer registered under Section 15 of the Act,
- (b) "Bank as defined in Section 3(a)(6) of the Act,
- (c) "Insurance Company as defined in Section 3(a)(19) of the Act,
- (d) Investment Company registered under Section 8 of the Investment Company Act of 1940,
- (e) "An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) "Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F),
- (g) Parent Holding Company or control person in accordance with Rule 13d-1(b)(1)(ii)(G),
- (h) Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act,
- (i) "A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;
- (i) "A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J);
- (k) "Group, in accordance with Rule 13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J), please specify the type of institution:

# Item OWNERSHIP

The information required by Items 4(a) - (c) is set forth in Rows (5) - (11) of the cover page for each Reporting Person hereto and is incorporated herein by reference for each such Reporting Person. The percentage set forth in Row (11) of the cover page for each Reporting Person is based on the 262,956,278 Ordinary Shares outstanding as of December 31, 2016, as reported in the Company's Annual Report on Form 20-F for the fiscal year ended December 31, 2016 filed with the Securities and Exchange Commission on March 31, 2017.

The Investment Manager, which serves as the investment manager to each of the Numeric Funds, may be deemed to be the beneficial owner of all of the Ordinary Shares owned by the Numeric Funds. The Parent Company, which indirectly, through various intermediate entities, controls the Investment Manager, may be deemed to be the beneficial owner of all the Ordinary Shares owned by the Numeric Funds. The Parent Company hereby disclaims any beneficial ownership of any such Ordinary Shares.

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#### Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

#### Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

See Item 2.

# Item IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE 7. SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

#### Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

#### Item 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

#### Item 10. CERTIFICATION.

Each of the Reporting Persons hereby makes the following certification:

By signing below each Reporting Person certifies that, to the best of its knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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#### **SIGNATURES**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

DATE: February 8, 2018

## NUMERIC INVESTORS LLC

By: /s/ Christopher

Ancona

Name: /s/ Christopher

Ancona Chief

Title: Compliance

Officer

# MAN GROUP PLC

By: /s/ Michelle Robyn Grew

Name: Michelle

Robyn Grew

Chief

Title: Administrative

Officer

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#### **EXHIBIT I**

#### JOINT FILING AGREEMENT

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him or it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that he or it knows or has reason to believe that such information is inaccurate.

DATED as of February 8, 2018

## NUMERIC INVESTORS LLC

By: /s/ Christopher

Ancona

Name: /s/ Christopher Ancona

Chief

Title: Compliance

Officer

## MAN GROUP PLC

By: /s/ Michelle Robyn Grew

Name: Michelle Robyn Grew

Chief

Title: Administrative

Officer