

LIBBEY INC
Form 4
November 20, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PAIGE TIMOTHY T

(Last) (First) (Middle)

LIBBEY INC, PO BOX 10060

(Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LIBBEY INC [LBY]

3. Date of Earliest Transaction (Month/Day/Year)
11/19/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President-Human Resources

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	11/19/2015		S	V	3,000	D	
					\$ 25.21		
Common Stock					18,817.4162	I	By 401k Plan ⁽¹⁾
Restricted Stock Units					3,270	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 10.13					02/11/2011 ⁽²⁾	02/11/2020	Common Stock	5,48
Non-Qualified Stock Option (right to buy)	\$ 1.07					02/12/2010 ⁽²⁾	02/12/2019	Common Stock	1,20
NQ - Stock Option (Right to Buy)	\$ 23.02					02/24/2015 ⁽²⁾	02/24/2024	Common Stock	6,01
Non-Qualified Stock Option (right to buy)	\$ 15.35					02/15/2009 ⁽²⁾	02/15/2018	Common Stock	3,99
Non-Qualified Stock Option (right to buy)	\$ 12.8					⁽³⁾	02/17/2017	Common Stock	2,63
Non-Qualified Stock Option (right to buy)	\$ 19.02					02/22/2014 ⁽²⁾	02/22/2023	Common Stock	6,81
Non-Qualified Stock Option (right to buy)	\$ 13.95					02/17/2013 ⁽²⁾	02/17/2022	Common Stock	4,47
NQ - Stock Option (Right to Buy)	\$ 38.06					02/17/2016 ⁽⁴⁾	03/02/2025	Common Stock	4,41
NQ - Stock Option (Right to Buy)	\$ 38.06					02/17/2016	03/02/2025	Common Stock	4,41

Non-Qualified
Stock Option
(right to buy)

\$ 17

02/10/2012⁽²⁾ 02/10/2021

Common
Stock 3,51

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PAIGE TIMOTHY T LIBBEY INC PO BOX 10060 TOLEDO, OH 43699-0060			Vice President-Human Resources	

Signatures

Debbie Hyndman, Attorney-in-Fact for Timothy T.
Paige

11/20/2015

 Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The information reported herein is based upon information received from the record keeper of the Libbey Inc. 401k/104m plan. The
- (1) Libbey Inc. shares attributed to the participant in this report as being held by the plan are the equivalent number of the shares the participant would receive of his entire Libbey Inc. share account if it was distributed to him in Libbey Inc. shares. The actual account in the plan consists of the Libbey Inc. shares and cash.
 - (2) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.
 - (3) There were two grants on February 16, 2007. The grant of 4,504 options become exercisable for 25% of the shares on each of the first, second, third and fourth anniversary dates. The grant of 4,128 options become exercisable for 33% of the shares on the first, second and third anniversary dates.
 - (4) The options become exercisable for 25% of the shares on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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