

## LAZARD GLOBAL TOTAL RETURN &amp; INCOME FUND INC

Form 3/A

March 08, 2017

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Carroll Charles Lawrence

(Last) (First) (Middle)

C/O LAZARD ASSET  
MANAGEMENT LLC  
("LAM"),Â 30 ROCKEFELLER  
PLAZA

(Street)

NEW YORK,Â NYÂ 10112

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
02/28/2017

3. Issuer Name and Ticker or Trading Symbol

LAZARD GLOBAL TOTAL RETURN & INCOME  
FUND INC [LGI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☐ Officer ☒ Other

(give title below) (specify below)

Man Dir. / Dep. Chair of LAM (1)

5. If Amendment, Date Original Filed(Month/Day/Year)

04/30/2004

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
---------------------	--------------------	-------	----------------------------------	----------	--

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Carroll Charles Lawrence C/O LAZARD ASSET MANAGEMENT LLC ("LAM") 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	Â	Â	Â Man Dir.	Dep. Chair of LAM (1)

## Signatures

/s/ Charles L.  
Carroll

03/07/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

### No securities are beneficially owned

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

### Remarks:

(1)Â LAMÂ isÂ theÂ investmentÂ adviserÂ toÂ LGI.Â Mr.Â CarrollÂ hasÂ resignedÂ fromÂ hisÂ positionsÂ withÂ LGI,Â

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.