Hartzell Amy Form 4 April 02, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287 Number:

January 31, Expires:

2005 Estimated average burden hours per 0.5

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hartzell Amy

> (First) (Middle)

259 PROSPECT PLAINS RD., **BUILDING A** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

Innophos Holdings, Inc. [IPHS]

3. Date of Earliest Transaction (Month/Day/Year) 03/31/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

SVP, Supply Chain & Purchasing

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

CRANBURY, NJ 08512

(City)	(State)	(Zip) Table	e I - Non-D	erivative S	ecuri	ities Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition (A) or Disj (Instr. 3, 4	posec	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, Par Value \$0.001	03/31/2019		F	423 (1)	D	\$ 30.14	9,981	D	
Common Stock, Par Value \$0.001	04/01/2019		A	1,862 (2)	A	\$ 0	11,843	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

#### Edgar Filing: Hartzell Amy - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2019 Option to Purchase	\$ 31.03	04/01/2019		A	10,106	(3)	04/01/2029	Common Stock	10,106

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hartzell Amy 259 PROSPECT PLAINS RD., BUILDING A CRANBURY, NJ 08512

SVP, Supply Chain & Purchasing

## **Signatures**

/s/ Joshua S. Horenstein, as Attorney-in-fact

04/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the numbers of shares granted in 2016, 2017 and 2018 withheld for tax purposes.
- On April 1, 2019, these shares of restricted common stock were granted to the reporting person to be held in escrow, subject to certain vesting and forfeiture provisions. The grant vests in three equal installments over a three year period beginning March 31, 2020. The second installment date is March 31, 2021, followed by the third installment due on March 31, 2022.
- (3) The reporting person was granted options on April 1, 2019. Options vest in three equal annual installments beginning March 31, 2020. The second installment date is March 31, 2021, followed by the third installment date of March 31, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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