Duff Sherry Form 4 April 02, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires. 2005
Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

OMB

Number:

Expires:

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

03/31/2019

04/01/2019

Value

\$0.001

Value \$0.001

Common Stock, Par

Name and Address of Reporting Person   Duff Sherry			ner Name <b>and</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
		Innopl	nos Holdings, Inc. [IPHS]	(Check all applicable)			
(Last)	(First)	Middle) 3. Date	of Earliest Transaction				
			/Day/Year)	Director 10% Owner			
259 PROSPECT PLAINS RD., (			2019	_X_ Officer (give title Other (specify below)			
BUILDING	λA			SVP, CMO & Tech Officer			
	(Street)	4. If An	nendment, Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(M	fonth/Day/Year)	Applicable Line)			
CRANBUR	Y, NJ 08512			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tal	ble I - Non-Derivative Securities	Acquired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction Date	e 2A. Deemed	3. 4. Securities Acquire	ed 5. Amount of 6. Ownership 7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	` ′ .				
(Instr. 3)		any	Code (Instr. 3, 4 and 5)	Beneficially (D) or Beneficial			
		(Month/Day/Year)	(Instr. 8)	Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)			
			413	Reported			
			(A) or	Transaction(s)			
				(Instr. 3 and 4)			
Common			(2)				
Stock, Par	03/31/2010		F 300 (1) D \$	3 281 D			

399 (1) D

3,623

(2)

F

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

3,281

6,904

30.14

\$0

D

D

#### Edgar Filing: Duff Sherry - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2019 Option to Purchase	\$ 31.03	04/01/2019		A	19,660	(3)	04/01/2029	Common Stock	19,660

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Duff Sherry 259 PROSPECT PLAINS RD., BUILDING A CRANBURY, NJ 08512			SVP, CMO & Tech Officer		

### **Signatures**

/s/ Joshua S. Horenstein, as Attorney-in-fact

04/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the numbers of shares granted in 2017 and 2018 withheld for tax purposes.
- On April 1, 2019, these shares of restricted common stock were granted to the reporting person to be held in escrow, subject to certain (2) vesting and forfeiture provisions. The grant vests in three equal installments over a three year period beginning March 31, 2020. The second installment date is March 31, 2021, followed by the third installment due on March 31, 2020.
- (3) The reporting person was granted options on April 1, 2019. Options vest in three equal annual installments beginning March 31, 2020. The second installment date is March 31, 2021, followed by the third installment date of March 31, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2