Steward Roy Form 4 September 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Steward Roy

(Last) (First) (Middle)

2121 OLD GATESBURG ROAD, SUITE 110

D, SUITE 110

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

Eclipse Resources Corp [ECR]

3. Date of Earliest Transaction (Month/Day/Year) 08/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

____ Director ____ 10% Owner ____ Officer (give title ____ Other (specify below)

SVP & Chief Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

Person

STATE COLLEGE, PA 16803

(Ctata)

(City)	(State)	Z _{1p)} Table	e I - Non-D	erivative S	ecurit	ies Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	· · · · · ·			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	08/31/2018		F	4,945 (1)	D	\$ 1.4	272,093	D	
Common Stock	08/31/2018		M	51,287	A	\$ 0 (2)	323,380	D	
Common Stock	08/31/2018		F	14,837 (3)	D	\$ 1.4	308,543	D	
Common Stock	08/31/2018		F	14,857 (4)	D	\$ 1.4	293,686	D	
Common Stock	08/31/2018		M	77,035	A	\$ 0 (5)	370,721	D	

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Common Stock	08/31/2018	F	22,286 (6)	D	\$ 1.4	348,435	D
Common Stock	08/31/2018	F	12,937 (7)	D	\$ 1.4	335,498	D
Common Stock	08/31/2018	M	44,719	A	\$ 0 (8)	380,217	D
Common Stock	08/31/2018	F	12,937 (9)	D	\$ 1.4	367,280	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Units	<u>(2)</u>	08/31/2018		M	51,287	(2)	(2)	Common Stock	51,287
Performance Units	<u>(5)</u>	08/31/2018		M	77,035	<u>(5)</u>	(5)	Common Stock	77,035
Performance Units	<u>(8)</u>	08/31/2018		M	44,719	(8)	(8)	Common Stock	44,719

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

Steward Roy 2121 OLD GATESBURG ROAD SUITE 110 STATE COLLEGE, PA 16803

SVP & Chief Accounting Officer

Relationships

Reporting Owners 2 Edgar Filing: Steward Roy - Form 4

Signatures

/s/ Christopher K. Hulburt, as Attorney-in-Fact

09/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax obligations upon the vesting of restricted stock units on August 31, 2018 for an award that the Reporting Person was granted on April 22, 2016.
- (2) On April 22, 2016, the Reporting Person was granted 51,287 performance stock units with each unit representing the right to receive one share of the Issuer's common stock, all of which vested on August 31, 2018.
- (3) Represents shares withheld to satisfy tax obligations upon the vesting of the performance stock units described in Footnote No. 2.
- (4) Represents shares withheld to satisfy tax obligations upon the vesting of restricted stock units on August 31, 2018 for an award that the Reporting Person was granted on February 24, 2017.
- On February 24, 2017, the Reporting Person was granted 77,035 performance stock units with each unit representing the right to receive one share of the Issuer's common stock, all of which vested on August 31, 2018.
- (6) Represents shares withheld to satisfy tax obligations upon the vesting of the performance stock units described in Footnote No. 5.
- (7) Represents shares withheld to satisfy tax obligations upon the vesting of restricted stock units on August 31, 2018 for an award that the Reporting Person was granted on February 23, 2018.
- (8) On February 23, 2018, the Reporting Person was granted 44,719 performance stock units with each unit representing the right to receive one share of the Issuer's common stock, all of which vested on August 31, 2018.
- (9) Represents shares withheld to satisfy tax obligations upon the vesting of the performance stock units described in Footnote No. 8.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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