NYSE Group, Inc. Form 3 March 07, 2006

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MCNULTY JAMES J

(Last)

1. Title of Security

(Instr. 4)

(First)

(Middle)

Statement

(Month/Day/Year)

03/07/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NYSE Group, Inc. [NYX]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O NYSE GROUP, INC., 11 **WALL STREET** 

(Street)

\_X\_\_ Director Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

NEW YORK, NYÂ 10005

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

Ownership Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock par value \$0.01 per share

5,000 (1)

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Direct (D) Security

#### Edgar Filing: NYSE Group, Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares	or Indirect (I) (Instr. 5)	
Restricted Stock Untis (2)	(3)	(3)	Common Stock par value \$0.01 per share	12,370 (4) \$ 0	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
MCNULTY JAMES J C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005	ÂX	Â	Â	Â	

## **Signatures**

/s/ James J.
McNulty

\*\*Signature of Reporting Person

O3/07/2006

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for an equal number of shares of common stock of Archipelago Holdings, Inc. in connection with the merger of

  New York Stock Exchange, Inc. and Archipelago Holdings, Inc. On March 7, 2006, the effective date of the merger, the closing price of the common stock of Archipelago Holdings, Inc. was \$64.25 per share. The NYSE Group, Inc. common stock will commence trading on March 8, 2006, the day following the effective date of the merger.
- (2) Each restricted stock unit represents a right to receive one share of the Company's Common Stock (or cash equal to the fair market value thereof) upon the director's resignation or other termination of service from the Company's board of directors.
- (3) These restricted stock units are fully vested with the underlying shares (or cash equal to the fair market value thereof) to be delivered upon Mr. McNulty's resignation or other termination from the Company's board of directors.
- (4) Received in exchange for an equivalent number of RSUs for which Archipelago Holdings, Inc. common stock was the underlying security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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