#### **GENERAL MILLS INC**

Form 4 June 29, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OGrady Shawn P

GENERAL MILLS INC [GIS]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner X\_ Officer (give title ) \_ Other (specify

below)

Group President

NUMBER ONE GENERAL MILLS

(Street)

**BOULEVARD** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

06/27/2017

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

MINNEAPOLIS, MN 55426

| (City)                               | (State) (                               | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |   |  |   |                |  |  |  |
|--------------------------------------|---|--|--|--|---|---|--|---|----------------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                |  |  |  |
| Common<br>Stock                      | 06/27/2017                              |  | A                                      | 4,278<br>(1)   | A | \$0   | 126,550.3484   | D   |                |  |  |  |
| Common<br>Stock                      |   |  |  |  |   |   | 85,383.7282  | I   | by Spouse      |  |  |  |
| Common<br>Stock                      |   |  |  |  |   |   | 10,477   | I   | by Trust       |  |  |  |
| Common<br>Stock                      |   |  |  |  |   |   | 7,764  | I   | by Trust 1 (3) |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) |            | 7. Title and Amou<br>Underlying Secur<br>(Instr. 3 and 4) |             |
|---|---|---|---|---|--|--|------------|---|-------------|
|   | Security  |   |   |   | (D)<br>(Instr. 3, 4,<br>and 5)                                   | Date   | Expiration | Title   | Am          |
|   |   |   |   | Code V                                  | (A) (D)  | Exercisable  | Date       | 1144  | Nui<br>of S |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 55.52  | 06/27/2017                              |   | A                                       | 42,777   | 06/27/2021   | 07/27/2027 | Common<br>Stock   | 42          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OGrady Shawn P

NUMBER ONE GENERAL MILLS BOULEVARD MINNEAPOLIS, MN 55426

**Group President** 

## **Signatures**

By: Christopher A. Rauschl For: Shawn P. O'Grady

06/29/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock units that vest on June 27, 2021
- (2) Held in Trust by the Trustee of the General Mills Savings Plan.
- (3) Held in Trust for the benefit of the reporting person's spouse by the Trustee of the General Mills Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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