**CREE INC** Form 4 September 06, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

| 1. Name and A<br>Whitaker Ar   | • | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CREE INC [CREE]   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|--|---|-----------------|--|--|--|--|--|
| (Last) (First) (Middle)  |   | (Middle)        | 3. Date of Earliest Transaction                                      | (Check all applicable)   |  |  |  |
| C/O CREE, INC., 4600 SILICON DRIVE  (Street)  DURHAM, NC 27703  (City) (State) (Zip) |   |                 | (Month/Day/Year)   | X Director 10% Owner   |  |  |  |
|  |   |                 | 09/01/2016   | Officer (give title Other (specify below)  |  |  |  |
|  |   |                 | 4. If Amendment, Date Original                                       | 6. Individual or Joint/Group Filing(Check  |  |  |  |
|  |   |                 | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
|  |   |                 | Table I. Non-Derivative Securities Acquired Disposed of or Reneficia |  |  |  |  |

| • •             | •                   | 1 able 1           | - MOII-L                   | Jei I      | ivative Sec | curine       | s Acqu       | un eu, Disposeu oi | , or benefician | ly Owned     |
|-----------------|---------------------|--------------------|----------------------------|------------|-------------|--------------|--------------|--------------------|-----------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.                         |            | 4. Securit  | ies          |              | 5. Amount of       | 6. Ownership    | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | TransactionAcquired (A) or |            |             | Securities   | Form: Direct | Indirect           |                 |              |
| (Instr. 3)      |                     | any                | Code Disposed of (D)       |            | )           | Beneficially | (D) or       | Beneficial         |                 |              |
|                 |                     | (Month/Day/Year)   | (Instr. 8                  | 3)         | (Instr. 3,  | 4 and        | 5)           | Owned              | Indirect (I)    | Ownership    |
|                 |                     |                    |                            |            |             |              |              | Following          | (Instr. 4)      | (Instr. 4)   |
|                 |                     |                    |                            |            |             | (            |              | Reported           |                 |              |
|                 |                     |                    |                            |            |             | (A)          |              | Transaction(s)     |                 |              |
|                 |                     |                    | G 1                        | <b>T</b> 7 |             | or           | ъ.           | (Instr. 3 and 4)   |                 |              |
|                 |                     |                    | Code                       | V          | Amount      | (D)          | Price        |                    |                 |              |
| COMMON<br>STOCK | 09/01/2016          |                    | A(1)                       |            | 6,429       | A            | \$0          | 20,054             | D               |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: CREE INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) |                                   |                     | ate             | 7. Title<br>Amoun<br>Under | int of<br>lying<br>ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene |
|---|---|---|---|---------------------------------------|-----------------------------------|---------------------|-----------------|----------------------------|--------------------------|--|---------------------------------|
|   | Derivative<br>Security                      |   |   |                                       | Securities<br>Acquired            |                     |                 | (Instr.                    | 3 and 4)                 |  | Owne<br>Follo                   |
|   |   |   |   |                                       | (A) or<br>Disposed                |                     |                 |                            |                          |  | Repo<br>Trans                   |
|   |   |   |   |                                       | of (D)<br>(Instr. 3,<br>4, and 5) |                     |                 |                            |                          |  | (Instr                          |
|   |   |   |   |                                       | 4, and 3)                         |                     |                 |                            | Amount                   |  |                                 |
|   |   |   |   |                                       |                                   | Date<br>Exercisable | Expiration Date | Title                      | or<br>Number<br>of       |  |                                 |
|   |   |   |   | Code V                                | (A) (D)                           |                     |                 |                            | Shares                   |  |                                 |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| 1                              | Director      | 10% Owner | Officer | Other |  |  |  |
| Whitaker Anne Clem             |               |           |         |       |  |  |  |
| C/O CREE, INC.                 | X             |           |         |       |  |  |  |
| 4600 SILICON DRIVE             | Λ             |           |         |       |  |  |  |
| DURHAM, NC 27703               |               |           |         |       |  |  |  |

## **Signatures**

Bradley D. Kohn as agent for Anne C.
Whitaker 09/06/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock units vesting in full on September 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2