

OSHKOSH CORP
Form 4
November 19, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Sagehorn David M.

2. Issuer Name and Ticker or Trading Symbol
OSHKOSH CORP [OSK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O OSHKOSH CORPORATION, 2307 OREGON STREET

3. Date of Earliest Transaction (Month/Day/Year)
11/15/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Exec. VP and CFO

(Street)
OSHKOSH, WI 54902

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code V	Amount		
Common Stock	11/15/2013		M	3,000	A	\$ 28.27	72,667 D
Common Stock	11/15/2013		S	3,000	D	\$ 50.58 (1)	69,667 D
Common Stock	11/15/2013		M	3,000	A	\$ 41.04	72,667 D
Common Stock	11/15/2013		S	3,000	D	\$ 50.58 (2)	69,667 D

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Common Stock	11/15/2013	M	11,500	A	\$ 12.04	81,167	D
Common Stock	11/15/2013	S	11,500	D	\$ <u>(3)</u> 50.58	69,667	D
Common Stock	11/15/2013	M	55,000	A	\$ 28.73	124,667	D
Common Stock	11/15/2013	S	55,000	D	\$ <u>(4)</u> 50.58	69,667	D
Common Stock	11/18/2013	M	68,505	A	\$ 12.04	138,172	D
Common Stock	11/18/2013	S	68,505	D	\$ <u>(5)</u> 50.12	69,667	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
<u>(6)</u> Options	\$ 28.27	11/15/2013		M	3,000	<u>(7)</u> 10/14/2014	Common Stock	3,000
<u>(6)</u> Options	\$ 41.04	11/15/2013		M	3,000	<u>(8)</u> 10/19/2015	Common Stock	3,000
<u>(6)</u> Options	\$ 12.04	11/15/2013		M	11,500	<u>(9)</u> 10/16/2018	Common Stock	11,500
<u>(6)</u> Options	\$ 28.73	11/15/2013		M	55,000	<u>(10)</u> 09/20/2017	Common Stock	55,000
<u>(6)</u> Options	\$ 12.04	11/18/2013		M	68,505	<u>(9)</u> 10/16/2018	Common Stock	68,505

