Edgar Filing: FIRST CHARTER CORP /NC/ - Form 5

FIRST CHARTER CORP /NC/ Form 5 February 1 FOR

Form 5										
February 14,	, 2007									
FORM	15						OMB A	PPROVAL		
		STATES			D EXCHANGE C	OMMISSION	OMB Number:	3235-03	362	
Check this no longer	subject		Was	hington, D	Expires:	January 2(31, 005			
to Section Form 4 or	Form ANNUAL STATEMENT OF CHANGES IN BENEFICIAL							Estimated average burden hours per		
5 obligatio may conti <i>See</i> Instru	nue.	OWNERSHIP OF SECURITIES							1.0	
1(b).	Filed pu	suant to S	Section 10	6(a) of the S	Securities Exchange	e Act of 1934,				
Form 3 He Reported Form 4 Transactio Reported					g Company Act of ompany Act of 194		1			
							Reporting Person(s) to			
GODBOLD) JOHN J JR		Symbol FIRST CHARTER CORP /NC/			Issuer				
			[FCTR]	CHARIER	CORP/NC/	(Check all applicable)				
(Last)	(First) (Middle)	3. Statement for Issuer's Fiscal Year Ended			XDirector10% Owner Officer (give titleOther (speci				
			(Month/D 12/31/20	•		below)	below)			
10200 DAV	ID TAYLOR DI	RIVE								
	(Street)			ndment, Date (hth/Day/Year)	Original	6. Individual or Joint/Group Reporting				
						(check applicable line)				
CHARLOT	TE, NC 2826	2-2373								
						X Form Filed by 0 Form Filed by M Person				
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Securities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)			3. Transaction Code	4. Securities Acquired (A) or Disposed of (D)	d 5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or			

							, 1	/	•
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transaction	4. Securit (A) or Di			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any	Code	(D)	1		Beneficially	(D) or	Beneficial
× ,		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 3	5)	Owned at end	Indirect (I)	Ownership
		· · · ·					of Issuer's	(Instr. 4)	(Instr. 4)
					()		Fiscal Year		
					(A)		(Instr. 3 and		
				Amount	or (D)	Price	4)		
Common				Amount	(D)	Thee			
	10/27/2006	Â	G	20,000	D	\$ 0	158,729	D	Â
Stock									
									Spouse -
Common	Â	Â	Â	Â	Â	Â	1,789	I	Linda
Stock	1	11	11	11	11	11	1,702	1	Godbold
									Goudolu

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number			ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 14.5	Â	Â	Â	Â	Â	(1)	01/20/2010	Common Stock	1,800
Stock Options (Right to buy)	\$ 15.75	Â	Â	Â	Â	Â	(<u>1)</u>	01/17/2011	Common Stock	1,800
Stock Options (Right to buy)	\$ 17.37	Â	Â	Â	Â	Â	(<u>1)</u>	01/16/2012	Common Stock	3,500
Stock Options (Right to buy)	\$ 18	Â	Â	Â	Â	Â	(<u>1)</u>	10/14/2009	Common Stock	1,800
Stock Options (Right to buy)	\$ 18.81	Â	Â	Â	Â	Â	(1)	01/22/2013	Common Stock	5,000
Stock Options (Right to buy)	\$ 20.02	Â	Â	Â	Â	Â	(<u>1)</u>	01/21/2014	Common Stock	1,800
Stock Options (Right to buy)	\$ 23.66	Â	Â	Â	Â	Â	(<u>1)</u>	01/19/2015	Common Stock	2,500
Stock Options	\$ 25	Â	Â	Â	Â	Â	(1)	04/14/2011	Common Stock	2,000

8. H Der Sec (Ins (Right to buy)

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
GODBOLD JOHN J JR 10200 DAVID TAYLOR DRIVE CHARLOTTE, NC 28262-2373	ÂX	Â	Â	Â			
Signatures							
Stephen J Antal, by Power of Attorney	02	2/13/2007					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All Options are currently exercisable

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.