Narayandas Das

| Form 3 November 22 | , 2017 | | | | | | | | |
|---|---|---------------------------------|---|--------------------------------------|------------------------------|--|------------------------------------|---|--|
| FORM | ORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | |
| | | | vv asiiii | iigioii, D | .C. 20549 | | | OMB Numbe | er: 3235-010 |
| INITIAL STATEMENT OF BENEF SECURITIE Filed pursuant to Section 16(a) of the Sec | | | | IES | | | Estima burden | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | |
| | Sectio | | he Public Utilit (h) of the Inves | • | • | • | 5 or Sect | | |
| (Print or Type Re | esponses) | | | | | | | | |
| Narayandas Das (Month/I | | | 2. Date of Event Requiring Stater (Month/Day/Yea | ing Statement ALLIA /Day/Year) | | ime and Ticker CEBERNST | | | P. [AB] |
| (Last) | (First) | (Middle) | 11/14/2017 | | 4. Relations Person(s) to | hip of Reportir Issuer | | If Amendme iled(Month/Da | ent, Date Original ay/Year) |
| C/O ALLIANCEE AVENUE OI | | | | | (Chec | k all applicable | e) 9 Owner | | |
| | (Street) | | | | Office (give title bel | r Othe ow) (specify be | low) Fi | iling(Check A | r Joint/Group pplicable Line) by One Reporting |
| NEW YORK | , NY 1 | 10105 | | | | | | | by More than One n |
| (City) | (State) | (Zip) | Ta | able I - N | lon-Deriva | ative Securit | ties Bene | ficially Ov | wned |
| 1.Title of Securi (Instr. 4) | ty | | Be | Amount of neficially (str. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature Ownersh (Instr. 5) | - | 3eneficial |
| AB Holding | Units <u>(1)</u> | | 3,0 | 025 (2) | | D | Â | | |
| Reminder: Repo owned directly o | | | n class of securitie | es beneficia | ally | SEC 1473 (7-02 | 2) | | |
| | inforn requir | nation contain red to respon | ond to the colle ned in this form d unless the fo B control numb | n are not rm displa | iys a | | | | |
| Ta | able II - Dei | rivative Securi | ties Beneficially (| Owned (<i>e.</i> § | g., puts, calls | , warrants, op | tions, conv | vertible secu | rities) |

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4) | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial Ownership |
| | (Month/Day/Year) | Derivative Security | or Exercise | Form of | (Instr. 5) |
| | | (Instr. 4) | Price of | Derivative | |
| | | | Derivative | Security: | |

Edgar Filing: Narayandas Das - Form 3

| Date | Expiration | Title | Amount or | Security | Direct (D) |
|-------------|------------|-------|-----------|----------|-------------|
| Exercisable | Date | | Number of | | or Indirect |
| | | | Shares | | (I) |
| | | | | | (Instr. 5) |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|--|------------|---------------|-----------|---------|-------|--|--|
| | | Director | 10% Owner | Officer | Other | | |
| Narayandas Das C/O ALLIANCEBERNSTEIN 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105 | | ÂX | Â | Â | Â | | |
| Signatures | | | | | | | |
| /s/ Das Narayandas | 11/22/2017 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units")
- The Board of Directors of AllianceBernstein Corporation, general partner of AB Holding, granted 3,025 restricted AB Holding Units to
 (2) the Reporting Person during a meeting of the Board held on November 14, 2017, the date on which the Reporting Person joined the Board. The AB Holding Units will vest and be delivered in equal 25% increments on each of November 14, 2018, 2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.