Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

GLAXOSMITHKLINE PLC Form 6-K January 20, 2017

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 20 January 2017

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

--

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

GlaxoSmithKline plc (the 'Company')

Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Dr M M Slaoui

b) Position/status Chairman, Global Vaccines

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of 3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

American Depositary Shares

a) Description of the financial instrument ('ADSs')

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

b) Nature of the transaction of dividends paid to

shareholders on 12 January

2017.

Price(s) Volume(s)

c) Price(s) and volume(s)

d)

\$38.9854 11.660

Aggregated information

n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2017-01-19

f) Place of the transaction New York Stock Exchange

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr B McNamara

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

b) Position/status CEO, Consumer Healthcare

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

American Depositary Shares

a) Description of the financial instrument ('ADSs')

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

b) Nature of the transaction of dividends paid to

shareholders on 12 January

2017.

Price(s) Volume(s)

c) Price(s) and volume(s)

\$38.9854 9.002

Aggregated information

d) n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2017-01-19

f) Place of the transaction New York Stock Exchange

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr D E Troy

b) Position/status SVP & General Counsel

c) Initial notification/ Initial notification

amendment

Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of 3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

American Depositary Shares

a) Description of the financial instrument ('ADSs')

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

of dividends paid to

shareholders on 12 January

2017.

Price(s) Volume(s)

c) Price(s) and volume(s)

b) Nature of the transaction

\$38.9854 1274.559

Aggregated information

d) n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2017-01-19

New York Stock Exchange f) Place of the transaction

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

Dr K Slaoui a) Name

PCA of Dr M M Slaoui b) Position/status

(Chairman, Global Vaccines)

c) Initial notification/ Initial notification

amendment

Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

5493000HZTVUYLO1D793 b) LEI

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

American Depositary Shares

a) Description of the financial instrument ('ADSs')

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

of dividends paid to

shareholders on 12 January

2017.

Price(s) Volume(s)

c) Price(s) and volume(s)

b) Nature of the transaction

\$38.9854 28.122

Aggregated information

d) n/a (single transaction)

Aggregated volume Price

e) Date of the transaction 2017-01-19

f) Place of the transaction New York Stock Exchange

(XNYS)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: January 20, 2017

By: VICTORIA WHYTE

Victoria Whyte Authorised Signatory for and on behalf of GlaxoSmithKline plc