Edgar Filing: Ellington Residential Mortgage REIT - Form 4

Ellington Residential Mortgage REIT Form 4 January 28, 2016

January 28, 2	2010										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										3235-0287	
Check thi	is box		vvas	sington,	D.C. 20	549			Number:	January 31,	
if no long		IENT O	F CHANGES IN BENEFICIAL OW					NERSHIP OF	Expires:	2005	
-	subject to STATE WENT OF CHARGES IN DELETICIAL OWNERSH. Section 16. SECURITIES								Estimated a burden hou		
Form 4 or	m 4 or								response		
Form 5 obligation	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,										
may cont				•	•	· ·		1935 or Section	1		
See Instru	uction	30(h)	of the In	vestment	Compar	iy Ac	t of 194	0			
1(b).											
(Print or Type F	Responses)										
					-	of Reporting Person(s) to					
Tecotzky M	Symbol					Issuer					
				n Resider	ntial Mo	rtgag	e REIT	(Check all applicable)			
(Last)	(First) (Middle)	[EARN]	-	oncoation			Director	10%	Owner	
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)						Officer (give title Other (specify					
53 FOREST AVENUE			01/26/2016					below) below) Co-Chief Investment Officer			
(Street) 4			4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				ed(Month/Day/Year)				Applicable Line)			
	_X_Form filed by One Reporting Person Form filed by More than One Reporting										
OLD GREE	NWICH, CT 06	870						Person		F6	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat			3.4. Securities AcquiredfTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)				5. Amount of	6. Ownership 7. Natur Form: Direct Indirect (D) or Benefic		
Security (Instr. 3)	(Month/Day/Year)	Executio any	n Date, if					Securities Beneficially		Indirect Beneficial	
(•	Day/Year)				-)	Owned	Indirect (I) Owners	Ownership	
								Following Reported		(Instr. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common							\$				
shares	01/26/2016			Р	589	А		3,209	D		
							(1)				
Common							\$				
shares	01/27/2016			Р	386	А	11.03 (2)	3,595	D		
							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Ellington Residential Mortgage REIT - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Tecotzky Mark 53 FOREST AVENUE OLD GREENWICH, CT 06870			Co-Chief Investment Officer					
Signatures								
/s/ Jason Frank, as attorney-in-fact Tecotzky	01/28/2016							
**Signature of Reporting Perso	n	Γ	Date					
Explanation of Poor	oneoe							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$10.53 to \$10.95. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of

(1) average purchase price. The reporting person hereby indertakes to provide upon request to the SEC start, the issuer of a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected. All purchases pursuant to this transaction were made pursuant to a 10b5-1 trading plan adopted by the reporting person.

This transaction was executed in multiple trades at prices ranging from \$10.89 to \$11.13. The price reported above reflects the weighted

(2) average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected. All purchases pursuant to this transaction were made pursuant to a 10b5-1 trading plan adopted by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.