

HORIZON BANCORP /IN/

Form 3

December 26, 2013

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â DeRuiter Kathie A
(Last) (First) (Middle)

206 BEECH STREET E

(Street)

THREE OAKS,Â MIÂ 49128

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
12/26/20133. Issuer Name **and** Ticker or Trading Symbol
HORIZON BANCORP /IN/ [HBNC]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Executive Vice President5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

17,895

I

Stock Bonus Plan

Common Stock

6,418 ⁽¹⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: HORIZON BANCORP /IN/ - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Common Stock	08/02/2009	08/02/2014	Common Stock	2,250 ⁽²⁾	\$ 10.47	D	Â
Common Stock	09/19/2010	09/19/2015	Common Stock	2,250 ⁽³⁾	\$ 12.33	D	Â
Common Stock	06/18/2016	06/18/2023	Common Stock	1,963 ⁽⁴⁾	\$ 20.24	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DeRuiter Kathie A 206 BEECH STREET E THREE OAKS,Â MIÂ 49128	Â	Â	Â Executive Vice President	Â

Signatures

/s/ Mark E Secor,
Attorney-in-Fact

12/26/2013

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock
- (2) The option was granted on 08/02/2004 and vested in five equal installments beginning on the first anniversary on date of grant.
- (3) The option was granted on 09/19/2005 and vested in five equal installments beginning on the first anniversary on date of grant.
- (4) The option was granted on 06/18/2013 and vested in five equal installments beginning on the first anniversary on date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.