

Alderson Christopher D  
 Form 4  
 February 22, 2019

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Alderson Christopher D

2. Issuer Name and Ticker or Trading Symbol  
 PRICE T ROWE GROUP INC  
 [TROW]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 100 E. PRATT STREET  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/20/2019

\_\_\_\_ Director  
 \_\_\_\_ Officer (give title below)  
 \_\_\_\_ 10% Owner  
 \_\_\_\_ Other (specify below)  
 Vice President

BALTIMORE, MD 21202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)				Price
Common Stock	02/20/2019		M		689	A	\$ 70.33	401,164.158	D	
Common Stock	02/20/2019		M		41,639	A	\$ 48.56	442,803.158	D	
Common Stock	02/20/2019		M		20,819	A	\$ 59.0693	463,622.158	D	
Common Stock	02/20/2019		M		20,819	A	\$ 60.7984	484,441.158	D	
Common Stock	02/20/2019		M		20,499	A	\$ 69.6708	504,940.158	D	

Edgar Filing: Alderson Christopher D - Form 4

Common Stock      02/20/2019      S      104,465      D      \$ 96.9444      400,475.158      D  
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable      Expiration Date	Title      Amount or Number of Shares
Stock Options (Right to Buy)	\$ 70.33	02/20/2019		M	689	11/01/2012 <sup>(2)</sup> 02/17/2021	Common Stock      689
Stock Options (Right to Buy)	\$ 48.56	02/20/2019		M	41,639	11/01/2012 <sup>(3)</sup> 09/08/2021	Common Stock      41,639
Stock Options (Right to Buy)	\$ 59.0693	02/20/2019		M	20,819	12/10/2013 <sup>(4)</sup> 02/23/2022	Common Stock      20,819
Stock Options (Right to Buy)	\$ 60.7984	02/20/2019		M	20,819	12/10/2013 <sup>(5)</sup> 09/06/2022	Common Stock      20,819
Stock Options (Right to Buy)	\$ 69.6708	02/20/2019		M	20,499	12/10/2014 <sup>(6)</sup> 02/21/2023	Common Stock      20,499

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Alderson Christopher D 100 E. PRATT STREET BALTIMORE, MD 21202			Vice President	

## Signatures

/s/ Christopher D.  
Alderson

02/22/2019

Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The range of prices for this transaction were \$96.65 to \$97.40. Will provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
  - (2) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/1/2012.
  - (3) 09/8/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/1/2012.
  - (4) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
  - (5) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
  - (6) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.