

TURNER MARK A

Form 4

June 07, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
TURNER MARK A

(Last) (First) (Middle)

C/O WSFS FINANCIAL
CORPORATION, 500 DELAWARE
AVENUE

(Street)

WILMINGTON, DE 19801

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

WSFS FINANCIAL CORP [WSFS]

3. Date of Earliest Transaction
(Month/Day/Year)

06/05/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)
CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	06/05/2018	06/05/2018	M		5,500	A \$ 16.5067	137,136	D	
Common Stock	06/05/2018	06/05/2018	S ⁽¹⁾		5,500	D \$ 53.2223 ⁽²⁾	131,636	D	
Common Stock	06/05/2018	06/05/2018	M		5,400	A \$ 16.5067	137,036	D	
Common Stock	06/05/2018	06/05/2018	S ⁽¹⁾		5,400	D \$ 53.2264 ⁽³⁾	131,636	D	

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Common Stock	06/06/2018	06/06/2018	M	15,300	A	\$ 16.5067	146,936	D
Common Stock	06/06/2018	06/06/2018	S ⁽¹⁾	15,300	D	\$ 54.2394 (4)	131,636	D
Common Stock	06/06/2018	06/06/2018	M	15,500	A	\$ 16.5067	147,136	D
Common Stock	06/06/2018	06/06/2018	S ⁽¹⁾	15,500	D	\$ 54.2529 (5)	131,636	D
Common Stock	06/07/2018	06/07/2018	M	100	A	\$ 16.5067	131,736	D
Common Stock	06/07/2018	06/07/2018	S ⁽¹⁾	100	D	\$ 54.65	131,636	D
Common Stock	06/07/2018	06/07/2018	M	13,414	A	\$ 16.5067	145,050	D
Common Stock	06/07/2018	06/07/2018	S ⁽¹⁾	13,414	D	\$ 54.4703 (6)	131,636	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 16.5067	06/05/2018	06/05/2018	M		5,500		<u>(7)</u>	02/28/2020	Common Stock	5,500
Stock Options	\$ 16.5067	06/05/2018	06/05/2018	M		5,400		<u>(7)</u>	02/28/2020	Common Stock	5,400

(Right to
buy)

Stock

Options (Right to buy)	\$ 16.5067	06/06/2018	06/06/2018	M	15,300	<u>(7)</u>	02/28/2020	Common Stock	15,300
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Stock

Options (Right to buy)	\$ 16.5067	06/06/2018	06/06/2018	M	15,500	<u>(7)</u>	02/28/2020	Common Stock	15,500
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Stock

Options (Right to buy)	\$ 16.5067	06/07/2018	06/07/2018	M	100	<u>(7)</u>	02/28/2020	Common Stock	100
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Stock

Options (Right to buy)	\$ 16.5067	06/07/2018	06/07/2018	M	13,414	<u>(7)</u>	02/28/2020	Common Stock	13,414
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
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TURNER MARK A
C/O WSFS FINANCIAL CORPORATION
500 DELAWARE AVENUE
WILMINGTON, DE 19801

CEO

Signatures

/s/ Mark A. Turner by Charles Mosher, Power of
Attorney

06/07/2018

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.80 to \$53.85, inclusive.

(2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.80 to \$53.80, inclusive.

(3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$53.45 to \$54.725, inclusive.

(4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

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Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$53.45 to \$54.7, inclusive.

- (5) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$54.00 to \$54.75, inclusive.

- (6) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (7) Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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