**DEAN FOODS CO** Form 4 February 18, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Braun C Shay Issuer Symbol DEAN FOODS CO [DF] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 02/13/2015

2711 NORTH HASKELL **AVENUE, SUITE 3400** 

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Check all applicable)

10% Owner Other (specify \_X\_\_ Officer (give title below)

SVP, Operations & Procurement

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

(Zip)

DALLAS, TX 75204

(State)

(City)

	Table I - Non-Derivative Securities	Acquired, Dispo	sed of, or Benefi	icially Owned
--	-------------------------------------	-----------------	-------------------	---------------

		1401	VI 11011 D		becar	rico ricq	un cu, Disposeu o	i, or Denemena	ij Omneu
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/13/2015		M	4,801 (1)	A	\$ 0	13,908	D	
Common Stock	02/13/2015		F	1,370 (1)	D	\$ 16.19	12,538	D	
Common Stock	02/15/2015		M	4,058 (2)	A	\$ 0	16,596	D	
Common Stock	02/15/2015		F	1,094 (2)	D	\$ 16.19	15,502	D	
Common Stock	02/17/2015		M	5,332 (3)	A	\$ 0	20,834	D	

### Edgar Filing: DEAN FOODS CO - Form 4

Common Stock 02/17/2015 F  $\frac{1,459}{\cancel{(3)}}$  D  $\frac{\$}{16.26}$  19,375 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired Disposed (Instr. 3, 4)	(A) or of (D)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units (DU005763)	\$ 0	02/13/2015		M		4,801 (1)	02/13/2015(4)	02/13/2024	Common Stock
Restricted Stock Units (DU705727)	\$ 0	02/15/2015		M		4,058 (2)	02/15/2014(4)	02/15/2023	Common Stock
Restricted Stock Units	\$ 0	02/16/2015		A	17,209		02/16/2016(4)	02/16/2025	Common Stock
Restricted Stock Units (DU705685)	\$ 0	02/17/2015		M		5,332 (3)	02/17/2013(4)	02/17/2022	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<u>.</u>	Director	10% Owner	Officer	Other			
Braun C Shay 2711 NORTH HASKELL AVENUE SUITE 3400 DALLAS, TX 75204			SVP, Operations & Procurement				
Ciamaturas							

## Signatures

Carman M. Callahan,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

Reporting Owners 2

#### Edgar Filing: DEAN FOODS CO - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person received a total of 4,801 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (1) restricted stock units. A total of 1,370 shares were surrendered to satisfy tax obligations of the reporting person, resulting in the issuance of a total of 3,431 net shares of Common Stock.
- The reporting person received a total of 4,058 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (2) restricted stock units. A total of 1,094 shares were surrendered to satisfy tax obligations of the reporting person, resulting in the issuance of a total of 2,964 net shares of Common Stock.
- The reporting person received a total of 5,332 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (3) restricted stock units. A total of 1,459 shares were surrendered to satisfy tax obligations of the reporting person, resulting in the issuance of a total of 3,873 net shares of Common Stock.
- The reporting person has received an award of restricted stock units, which is the right to receive shares of Common Stock of the Issuer in (4) the future, subject to the terms and conditions of the award agreement. The restricted stock units vest annually, on a pro rata basis, over a three year period beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.