**DEAN FOODS CO** Form 4 February 18, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per response...

Estimated average

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wiseman Robert Tennant

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

DEAN FOODS CO [DF]

(Check all applicable)

C/O DEAN FOODS

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify

COMPANY, 2711 NORTH HASKELL AVENUE, SUITE 3400

(State)

4. If Amendment, Date Original

02/13/2015

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street)

(Middle)

(Zin)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

DALLAS, TX 75204

(City)

(City)	(State) (	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	02/13/2015		M	2,827 (1)	A	\$0	18,629	D			
Common Stock	02/13/2015		F	849 (1)	D	\$ 16.19	17,780	D			
Common Stock	02/15/2015		M	2,597 (2)	A	\$ 0	20,377	D			
Common Stock	02/15/2015		F	780 <u>(2)</u>	D	\$ 16.19	19,597	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: DEAN FOODS CO - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and At Underlying Se (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units (DU005783)	\$ 0	02/13/2015		M	(A)	2,827 (1)	02/13/2015(3)	02/13/2024	Common Stock
Restricted Stock Units (DU705748)	\$ 0	02/15/2015		M		2,597 (2)	02/15/2014(3)	02/15/2023	Common Stock
Restricted Stock Units	\$ 0	02/16/2015		A	6,043		02/16/2016(3)	02/16/2025	Common Stock

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Wiseman Robert Tennant C/O DEAN FOODS COMPANY 2711 NORTH HASKELL AVENUE, SUITE 3400 DALLAS, TX 75204



# **Signatures**

Carman M. Callahan, Attorney-In-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person received a total of 2,827 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (1) restricted stock units. A total of 849 shares were surrendered to satisfy tax obligations of the reporting person, resulting in the issuance of a total of 1,978 net shares of Common Stock.

Reporting Owners 2

#### Edgar Filing: DEAN FOODS CO - Form 4

- The reporting person received a total of 2,597 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (2) restricted stock units. A total of 780 shares were surrendered to satisfy tax obligations of the reporting person, resulting in the issuance of a total of 1,817 net shares of Common Stock.
- The reporting person has received an award of restricted stock units, which is the right to receive shares of Common Stock of the Issuer in the future, subject to the terms and conditions of the award agreement. The units vest annually, on a pro rata basis, over a three year period beginning on the first anniversary of the grant date, subject to certain accelerated vesting provisions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.