# Edgar Filing: GAIN Capital Holdings, Inc. - Form 8-K

GAIN Capital Holdings, Inc. Form 8-K January 15, 2015

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 January 15, 2015
Date of Report (Date of earliest event reported)
GAIN CAPITAL HOLDINGS, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-35008 20-4568600

(State of Incorporation) (Commission File No.) (IRS Employer Identification No.)

Bedminster One 135 Route 202/206

Bedminster, New Jersey 07921

(Address of Principal Executive Offices)

(908) 731-0700

(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- p Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- p Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- p Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### Item 7.01. Regulation FD Disclosure.

On January 15, 2015, GAIN Capital Holdings, Inc., a Delaware corporation (the "Company"), issued a press release indicating that the Company did not expect recent actions by the Swiss National Bank to have a material adverse financial impact on the Company. The full text of the press release is attached to this current report on Form 8-K as Exhibit 99.1.\*

Item 9.01. Financial Statements and Exhibits.

Exhibit No. Description

99.1\* Press Release of GAIN Capital Holdings, Inc., dated January 15, 2015

The information furnished in Item 7.01 of this Current Report on Form 8-K and on Exhibit 99.1 shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such a filing.

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### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 15, 2015

### GAIN CAPITAL HOLDINGS, INC.

By: /s/ Diego Rotsztain

Diego Rotsztain

**Executive Vice President** 

Exhibit Index

Exhibit

No. Description

99.1 Press Release of GAIN Capital Holdings, Inc., dated January 15, 2015